# Product Registration System (PRS) specification document

**Supporting the introduction of Minimum Energy Performance Standards** 

**UN Environment Programme's (UNEP) United** for Efficiency (U4E)

19

# Content

1.	Abbrev	eviations	3
2.	Functio	tional description	3
2	2.1. Ke	Key purpose & functionalities	3
2	2.2. Ke	Key technical requirements	4
	2.2.1.	Development	4
	2.2.2.	Security	5
	2.2.3.	User interface	5
2	2.3. Da	Data sources	5
3.	User in	interface pages	6
3	3.1. Ge	General public user interface	6
	3.1.1.	Product list page	6
	3.1.2.	Product comparison page	7
	3.1.2	.2.1. Air Conditioner Product comparison page	7
	3.1.2	.2.2. Refrigerator Product comparison page	8
	3.1.2	.2.3. Lighting Product comparison page	9
	3.1.2	.2.4. Electric motors Product comparison page	9
	3.1.3.	Privacy page	9
	3.1.4.	Copyright page	9
	3.1.5.	Sitemap page	9
3	3.2. Ma	Manufacturer / Importer user interface	9
	3.2.1.	User sign-up page	9
	3.2.2.	Product list page	11
	3.2.3.	User management page	12
	3.2.4.	Data entry forms (New product registration)	13
	3.2.4	.4.1. Product registration initialization	16
	3.2.4	.4.2. Applicant details form	17
	3.2.4	.4.3. Product Details form	18
	3.7	3.2.4.3.1. Product Details form - AC	18
	3.2	3.2.4.3.2. Product Details form - Refrigerators	20
	3.2	3.2.4.3.3. Product Details form - Lighting	21
	3.7	3.2.4.3.4. Product Details form - Electric motors	21
	3.2.4	.4.4. Test Details form	22
	3.2	3.2.4.4.1. Test Details form - ACError!	Bookmark not defined.
	3.2	3.2.4.4.2. Test Details form - Refrigerators	29
	3.2	3.2.4.4.3. Test Details form - Lighting	30
	3.2	3.2.4.4.4. Test Details form - Electric motors	30
	3.2.4	.4.5. Performance Claims form	30
	3.7	3.2.4.5.1. Performance Claims form - AC	30

	3.	.2.4.5.2. Performance Claims form - Refrigerators	33
	3.	.2.4.5.3. Performance Claims form - Lighting	35
	3.	.2.4.5.4. Performance Claims form – Electric motors	36
	3.2.4	4.6. File uploads form	36
	3.2.4	4.7. Declaration and fees form	37
	3.2.5.	Bulk upload page (New product registration for multiple products)	39
	3.2.6.	Product re-registration page	41
	3.2.7.	Labels download page	42
	3.2.8.	About page	42
3.3	3. Pr	rogram manager user interface	42
	3.3.1.	User management page	43
	3.3.2.	Applicants page (administrator area)	43
	3.3.3.	Product list page	43
	3.3.4.	Documentation upload page (administrator area)	45
	3.3.5.	Accounts management page (administrator area)	45
	3.3.6.	Dashboard page	46
	3.3.7.	Contextual help page (administrator area)	46
	3.3.8.	Variables configuration page (administrator area)	46
3.4	4. Cı	ustoms user interface	47
	3.4.1.	Product list page	47
4.	Data p	points	50
5.	Databa	ase schema	61
6.	User le	evels	62
7.	Tables	S	63
7.	1. M	Iulti-status flags	63
7.2	2. Co	odes, norms, legislations	63
7.3	3. Pr	roduct Categories, sub-categories and HS codes	63
7.4	4. A	C tables	64
7.5	5. Re	efrigerator tables	64
7.6	6. 01	DP & GWP of refrigerants and blowing agents	65
7.	7. M	IVE Actions	65
7.8	8. W	Veather data (TBD once calculations are confirmed)	69

# 1. Abbreviations

AC Air Conditioner

AEC Annual Energy Consumption

APF Annual Performance Factor

COP Coefficient of Performance

CSPF Cooling Seasonal Performance Factor

EER Energy Efficiency Ratio

GWP Gobal Warming Potential

IEC International Electrotechnical Commission

ISO International Organization for Standardization

MEPS Minimum Energy Performance Standards

MVE Measurement Verification and Enforcement

ODP Ozone Depletion Potential

OWASP Open Web Application Security Project

QR Quick Response

TLS Transport Layer Security

U4E United for Efficiency (UN Environment)

UN United Nations

# 2. Functional description

# 2.1. Key purpose & functionalities

The application's basic purpose consists of a registry of energy-consuming products that are available for purchase or direct import in a specific country or jurisdiction.

The application will be developed for a prototype case. It is envisioned that its source code will be used as the core structure for further applications. It is expected that deviations will be made in its adaption at the national or regional level.

The registry will consist of a web application, available to multiple user types, such as equipment manufacturers/importers, various government officials, the general public, etc.

The various user types will interact with the application through multiple user interfaces (e.g. manufacturers/importers will enter product data through a dedicated secure access site, while the general public will interact with an open-access portal that lists approved registered products). Further information on user roles is included in section 6.

In general, all products go through three phases:

- Registration phase: The products are registered into the application. These products must comply with the country's legal requirements for Minimum Energy Performance Standards (MEPS), therefore, the inclusion of products into the registry will follow a submission and approval process. A key feature of this phase is the possibility to request the registration of products through a bulk upload of product data. This process is defined in detail in section 3.2.5
- Monitoring, Verification and Enforcement (MVE) phase: Registered products will be imported/distributed for sale in the country. The quantities of each product sold in the country will be recorded in the application by the manufacturers and importers. As a controlling mechanism for imported products, customs department users will be able to enter imported and exported quantities of the products at the ports of entry as a separate MVE action. Additionally, the program administrator will perform product compliance verification through independent product performance tests. Products that are found to be non-compliant may see their registration status revoked and/or receive further enforcement actions. The results of these verification and enforcement activities will be documented in the application. The various activities of the MVE phase are defined in sections 3.3.3 and 3.4.1.

• Registration expiry/renew phase: The applicable MEPS legislation is expected to be regularly updated (ca. every 5 years), typically making the minimum requirements more stringent as the technologies evolve in efficiency. As a consequence, the approved products under certain legislation scheme may or may not be eligible for sale under updated legislations. The application will be able to handle products with multiple legislation schemes associated to them, in the case that they transition to new MEPS requirements. This process is detailed in section 3.2.7.

The products included in the registry are grouped into categories. Currently four categories are required to be developed (which are expected to increase up to 20 categories in the future):

- Air conditioning equipment
- Refrigeration equipment
- Lighting
- Electric motors

The data points and database schema are indicated in sections 4 and 5.

It is expected that a total of 120'000 products from 400 different manufacturers/importers will be registered in the system.

# 2.2. Key technical requirements

#### 2.2.1. Development

The software tools used to develop the application shall be based on mainstream frameworks in the application development industry. Particular attention will be paid to the employment of a low-cost maintenance solution (including hosting costs, software licenses, and especially **re-programming labor** cost). A solution developed under a Java Enterprise Edition or Microsoft .NET Core framework is preferred.

Software development shall be performed according to the Agile principles and values, including short feedback loops, constant interaction between the developers and United for Efficiency, potentially changing requirements, quality focus, iterative and frequent version deployment, etc. It should be noted that this specification document is intended to describe the most relevant functionalities of the software but that it is by no means an exhaustive compilation of all elements that need to be programmed to achieve a working product.

Prior to any programming work, the developer will provide an interactive prototype of the tool displaying a preproduction version of the Program manager and Manufacturer/Importer main pages as well as the workflows between them. The development of the application will be performed considering the feedback provided by UN Environment on this interactive prototype.

The utilization of a version management system is mandatory, with a preference for a Git-based system. The developer will provide United for Efficiency access to a platform to perform intermediate testing, report bugs, access file repositories, etc. The final as well as intermediate versions of the application shall be uploaded to this platform.

The application shall be developed to allow access from multiple platforms and architectures.

All system information is maintained in a main database, which is located on a web-server. The application is expected to be deployed in the cloud for the first country, however, it shall be developed to allow an easy deployment in a locally maintained server.

A layered architecture shall be employed. Each layer shall be built with modules.

Some end users will access the application from locations with slow and unstable internet connection, therefore, the amount of data transferred required by the application must be kept as low as possible, and features such as partial data transfer/display or server-side routine calculations to obtain summary results shall be used to improve the user experience.

The application development must be performed following best practices in terms of code structure, data integrity & safety, programming documentation and code maintenance/upgrades.

The application development will be performed exclusively in English, for example, comments inserted in the code, naming of variables, functions, etc...

#### 2.2.2. Security

In general, the application shall be developed using OWASP Secure Coding Practices and shall achieve Level 2 of its Application Security Verification Standard (ASVS) v4.0. Amongst its safety features, the application will store sensitive user data using strong hashing techniques, use latest TLS communication protocol for all data transfers, manage user sessions with timeout periods, encrypted storage of uploaded files, etc.

The "general public" user interface will utilize an independent product database. This database will be limited to the data points displayed in the public portal. A routine will regularly update this database with the primary product database that is accessed by the users with individual credentials.

The application shall include protection against DDoS attacks, content scraping bots and other malicious agents.

Access to the application for users (other than the open "general public" user interface) will be restricted for each user with a username and password. All data access requests must be channeled through the Services layer to verify session details for every single request to the Database.

User sessions login-logout and edit activities shall be logged. Non-sensitive user information such as IP, associated country, device type, OS, etc. shall be included in the logs to enable further security features. This information will be available to Program management users.

#### 2.2.3. User interface

The User Interface will be responsive and adapt to a variety of screen resolutions (e.g. desktops, smartphones, tables, etc...)

The application will be developed with a resource-based multi-language User Interface. Initially, the User Interface will only be available in English.

After a pre-defined period of inactivity, a user session will expire, and users shall be redirected to the login page.

Each user will be able to self-manage its account information, reset passwords, etc. Some fields will not be editable by the user to ensure the identity of users is maintained. Further information is available in the description of the various user interface pages.

The developer must indicate the minimum internet browser's version that is required for the application to function properly.

The application shall achieve a good user experience with efficient, clear workflows and attractive user interfaces. The use of a UX and UI expert in the development team is recommended.

# 2.3. Data sources

Product information may be reported in two ways:

- Through the data entry forms in the application.
- Through a zip file containing:
  - A spreadsheet template (.xls or .xlsx) containing the required data fields for the products (only refrigerators, air conditioners, lighting and electric motors under this assignment). The development team shall be responsible for the development of such excel template in collaboration with the UN Environment team. The spreadsheet shall be compatible with open-source "office" type of applications.
  - o binary files associated with each product included in the excel file template.

Some of the data inputs will be used to perform calculations and validation checks. Further data validation details are indicated in section 4

Due to the diversity of products that are expected to be reported in the future, a series of modules will be used, some of them with generic data fields (used for the registration of all products) and some of them with the data inputs required for each product.

# 3. User interface pages

The user interface pages are divided into the various access portals that are to be developed.

The user interface will include contextual help (question marks: "?" next to each field). When clicking the question mark, a text box, which may include images and html links is displayed. The contextual help function will not be needed for all fields. The content of the text boxes will be provided during the software development phase.

Note that the example pages included in this document are purely for illustration purposes to the programming team. They should only serve as general guidance for the application development. The programming team is expected to develop an application that applies best practices to the layouts, workflows, color palettes, etc.

The data fields displayed in the sample pages are available on request.

In general, the fields indicated in the example pages are color-coded:

White - user entry

Grey - system assigned / non editable

Yellow - calculated

The developers are requested to include a similar visual guidance in the user interface (not necessarily the same color scheme indicated above)

## 3.1. General public user interface

The General public user interface serves as an open platform for the general public to obtain some basic information about available equipment for sale in the country. It also allows users to perform a comparison of energy consumption and associated costs for different equipment.

The "general public" user interface will utilize an independent product database. This database will be limited to the data points displayed in the public interface. A routine will regularly update this database with the primary product database that is accessed by the users with individual credentials.

#### 3.1.1. Product list page

The product list page shows a list with basic product information for all products with "approved" registered status in the system for a certain product category. See section 7.1 for information on the various status flags for products. The selection of a product category shall be performed on a previous page by the end-user.

Each field will allow filtering of data, to allow for product searches. Filtering may be performed as free-text, table options (if data source for the field is a table), min/max date (if data source for the field is a date) or min/max values (if the field is numerical). It will be possible to perform filters from several fields simultaneously. The product list page will also include a contextual help function for all fields headings.

Clicking on an individual product will display a product information card with detailed information, including the product image, website for further information, etc. Each product will have its individual access page, so that this page may be coded into a QR code for access through a QR reader.

The list includes a column for selection of products to be compared (up to four units). Selecting two or more products from this list enables the action of the "Compare" button. Clicking on the "Compare!" button brings the user to the product comparison page.

An example of the product list page for the "Air Conditioning" product category is indicated below.

								Seasonal							
Select to					Cooling	Heating	Seasonal Energy	Coefficient of	Energy						
compare	Brand	Model		Country of	capacity	Capacity	Efficiency Ratio	Performance	rating (A		Heat		Electrical	Registration	Registration
(max 4)	Brand	Model	Product type	→ Manufacture →	(kW) -	(kW)	(SEER)	(SCOP)	F) .	sour -	Sink	. Refrigerar -	supply -	number ,	expirty date
Compare!	Search	Search	Search	Search	From To	From To	From To	From To	Search	Search.	. Search	Search	Search	Search	From To
	TOSHIBA	RAS-B10UFV-A & RAS-10SAVR-A	Single Split System	Thailand	2.4	3.2				Air	Air	R410A	Single	ZAC0315	
	TOSHIBA	RAS-B13UFV-A & RAS-13SAVR-A2	Single Split System	Thailand	3.5	4.1				Air	Air	R410A	Single	ZAC0313	
	TOSHIBA	RAS-B16UFV-A & RAS-16UAVR-A	Single Split System	Thailand	4.5	5				Air	Air	R410A	Single	ZAC0320	
	TOSHIBA	RAS-B18UFV-A/RAS-18SAV2-A	Single Split System	Thailand	4.9	5.8	2.9753	3.174	B E	Air	Air	R410A	Single	ZAC0446	21/06/2023
	DAIKIN	FDYQN180LB / RZQ180L	Single Split System	Thailand, Australia	18	20	3.0042	3.194	1 E	Air	Air	R410A	Three	AAC1623	02/07/2020
	DAIKIN	FDYQN200LB / RZQ200LY	Single Split System	Australia, Thailand	20	22.4	3.0527	3.149	3 E	Air	Air	R410A	Three	AAC1601	23/06/2020
	ACTRON AIR	CAY470T / EVY470T	Packaged	Australia	45.77	47.37	2.9171	3.278	B E	Air	Air	R410A	Three	AAC2252	04/10/2021
	ACTRON AIR	PKY470T	Packaged	Australia	45.77	47.37	2.9171	3.278	B E	Air	Air	R410A	Three	AAC2252	04/10/2021
	ACTRON AIR	CAY470T	Single Split System	Australia	45.77	47.37	2.9171	3.278	B E	Air	Air	R410A	Three	AAC2196	29/08/2021
	ACTRON AIR	CAY470T / EVY470T	Single Split System	Australia	45.77	47.37	2.9171	3.278	B E	Air	Air	R410A	Three	AAC2196	29/08/2021
	ACTRON AIR	PKY470T	Single Split System	Australia	45.77	47.37	2.9171	3.278	B E	Air	Air	R410A	Three	AAC2196	29/08/2021
	SPECIALIZED ENGINEERING	APC024VA3B	Packaged	Australia	24.6	23.8	3.3695	3.499	5 D	Air	Air	R410A	Three	AAC1445	18/03/2020
	SPECIALIZED ENGINEERING	APH024VA3B	Packaged	Australia	24.6	23.8	3.3695	3.499	5 D	Air	Air	R410A	Three	AAC1445	18/03/2020
	GREE	GWH09MB-K3DNA2H(DRED)	Single Split System	China	2.6	2.8	3.7574	3.77	2 C	Air	Air	R410A	Single	AAC1109	13/08/2019
П	GREE	GWH09MB-K3DNA4H(DRED)	Single Split System	China	2.6	2.8	3.7574	3.77	2 C	Air	Air	R410A	Single	AAC1109	13/08/2019
	Mammoth	MSR-L009	Packaged	China	3	4	4,4762	5.492	A G	Water	c Water L	orR410A	Single	AAC1466	24/03/2020
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	33.5	37.5	3.5424	3.932		Air	Air	R410A	Three	AAC1079	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	33.5	37.5	3.5424	3.932		Air	Air	R410A	Three	AAC1079	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	33.5	37.5	3.5424	3.932		Air	Air	R410A	Three	AAC1079	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	40	45	3.4398	3.961		Air	Air	R410A	Three	AAC1075	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	40	45	3.4398	3.961		Air	Air	R410A	Three	AAC1075	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	40	45	3.4398	3.961		Air	Air	R410A	Three	AAC1075	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	45	50	3.0595	3.779		Air	Air	R410A	Three	AAC1075	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.			Thailand	45	50	3.0595	3.779		Air	Air	R410A	Three	AAC1076	
			Multiple split - VRF												06/08/2019
П	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	45	50	3.0595	3.779		Air	Air	R410A	Three	AAC1076	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	47.5	53 53	3.2229	3.852		Air	Air	R410A	Three	AAC1080	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	47.5	53	3.2229 3.2229	3.852 3.852		Air	Air Air	R410A R410A	Three	AAC1080 AAC1080	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	47.5	56							Three		06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	50		3.3879	3.92		Air	Air	R410A	Three	AAC1077	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	50	56	3.3879	3.92		Air	Air	R410A	Three	AAC1077	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	50	56	3.3879	3.92		Air	Air	R410A	Three	AAC1077	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	56	63	3.2058	3.750		Air	Air	R410A	Three	AAC1078	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.		Multiple split - VRF	Thailand	56	63	3.2058	3.750		Air	Air	R410A	Three	AAC1078	06/08/2019
	MITSUBISHI HEAVY INDUSTRIES, LTD.	FDC(L)560KXZE1	Multiple split - VRF	Thailand	56	63	3.2058	3.750	4 D	Air	Air	R410A	Three	AAC1078	06/08/2019
	Mitsubishi Electric	MUFZ-KJ50VE-A1	Single Split System	Thailand, Japan	4.995	5.8	3.5627	3.861		Air	Air	R410A	Single	ZAC0467	18/06/2024
	Mitsubishi Electric	MUFZ-KJ50VEHZ-A1	Single Split System	Thailand, Japan	4.995	5.8	3.5627	3.861	4 C	Air	Air	R410A	Single	ZAC0468	19/06/2024
	Mammoth	MSR-L013	Packaged	China	4.1	5.5	4.0306	4.601	7 B	Water I	c Water L	orR410A	Single	AAC1544	18/05/2020
	Mammoth	MSR-L016	Packaged	China	5.34	6.7	4.2428	5.081	2 A	Water I	c Water L	orR410A	Single	AAC1465	24/03/2020
	Mammoth	MSR-L019	Packaged	China	6.2	7.8	4.1326	4.587	5 B	Water I	c Water L	orR410A	Single	AAC1464	24/03/2020
	Mammoth	MSR-L024	Packaged	China	7.6	9	4.1943	4.939	7 B	Water	c Water L	orR410A	Single	AAC1543	18/05/2020
	Mammoth	MSR-L030	Packaged	China	9.4	11.8	4.2553	5.079	7 A	Water	c Water L	orR410A	Single	AAC1463	24/03/2020
	Mammoth	L036HHE	Packaged	China	10.99	13.5	4.2674	5.324	7 A	Water I	c Water L	orR410A	Single	AAC1557	22/05/2020
	Mammoth	MSR-J043	Packaged	China	12.3	14.8	3.9609	4.660	ЭВ	Water	c Water L	orR410A	Three	AAC1462	24/03/2020
	Mammoth	MSR-J052	Packaged	China	15	17.6	4.1091	4.705	4 B	Water	c Water L	orR410A	Three	AAC1461	24/03/2020

# 3.1.2. Product comparison page

The product comparison page displays tabular summaries of the product data selected by the user, including expected energy consumption and cost.

# 3.1.2.1. Air Conditioner Product comparison page

The Air Conditioner product comparison page displays the main characteristics of the Air Conditioner units selected (up to four units). It also displays expected energy consumption and cooling production, based on the information provided during the registration process.

	Selection 1	Selection 2	Selection 3	Selection 4
Brand	TOSHIBA	Mitsubishi Electric		
Model	S-B18UFV-A/RAS-18SAV2	MUFZ-KJ50VEHZ-A1		
Product type	Single Split System	Single Split System		
Cooling capacity (kW)	4.9	4.995		
Energy label	E	С		
SEER	2.9753	3.5627		
SCOP	3.1748	3.8614		
Annual Cooling provided (kWh)	7105	7242.75		
Annual electricity consumption (kWh)	2388	2033		
Average electricity tariff (USD/kWh)	0.15	0.15		
Annual running cost (USD)	358	305		

The variable "Annual running cost (CURRENCY)" is calculated as follows:

Annual running cost (CURRENCY) = Annual electricity consumption (kWh) \* Average electricity tariff (CURRENCY/kWh)

The variable "Average electricity tariff (CURRENCY/kWh)" is set by a Level 5 user as part of the system configuration. This field, however, may be edited by the general public to reflect its specific circumstances. This update will only affect the calculation displayed on the screen, not the stored electricity tariff values.

The other variables indicated in the product comparison page are taken directly from the product information database.

#### 3.1.2.2. Refrigerator Product comparison page

The Refrigerator product comparison page displays the main characteristics of the Refrigerator units selected (up to four units). It also displays expected energy consumption, as per information submitted during the registration process.

	Selection 1	Selection 2	Selection 3	Selection 4
Brand	LG	Beko		
Model	RAS-B18UFV-A	MUFZ-KJ50VEHZ-A1		
Product type	Fridge-freezer	Fridge-freezer		
Total fresh food volume	250	300		
Total frozen food volume	50	60		
Adaptive defrost?	Yes	Yes		
Automatic icemaker?	Yes	No		
Energy efficiency class	С	Α		
Annual electricity consumption (kWh)	500	325		
Average electricity tariff (USD/kWh)	0.15	0.15		
Annual running cost (USD)	75	49		
* Disclaimer: energy consu	mption is estimated usir	ng xyz average weather pr	ofile and typical oper	rational time for residential

The variable "Annual running cost (CURRENCY)" is calculated as follows:

Annual running cost (CURRENCY) = Annual electricity consumption (kWh) \* Average electricity tariff (CURRENCY/kWh)

The variable "Average electricity tariff (CURRENCY/kWh)" is set by a Level 5 user as part of the system configuration.

The other variables indicated in the product comparison page are taken directly from the product information database.

## 3.1.2.3. Lighting Product comparison page

To be developed, based on U4E model regulations.

# 3.1.2.4. Electric motors Product comparison page

To be developed, based on U4E model regulations.

#### 3.1.3. Privacy page

TBD – The specific text will be provided during the software development phase.

#### 3.1.4. Copyright page

TBD - The specific text will be provided during the software development phase.

#### 3.1.5. Sitemap page

TBD - The specific text & graph will be provided during the software development phase.

# 3.2. Manufacturer / Importer user interface

This user interface will be accessed by manufacturers and importers to register products that they wish to introduce in the country/group of countries. To do so, the manufacturer/importer will first apply to obtain a user account. This application will be reviewed by a "program manager" account and granted/rejected based on the suitability of the applicant. **Note that an importer/manufacturer account may be used by multiple users, as a manufacturer/importer may invite other users to its account. In addition, a program manager may also invite users to manufacturer/importer accounts.** Further information in sections 3.2.3. and 3.3.5

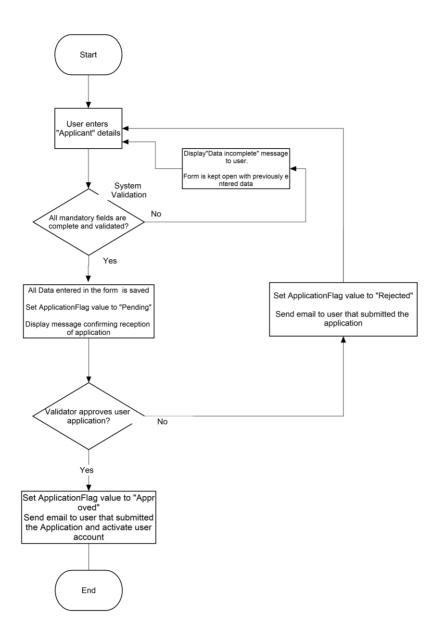
### 3.2.1. User sign-up page

The user sign-up page allows user to request registration into the platform as a manufacturer/importer. It follows standard user registration, including a required validation of email provided.

The email provided will be used as the username in the platform

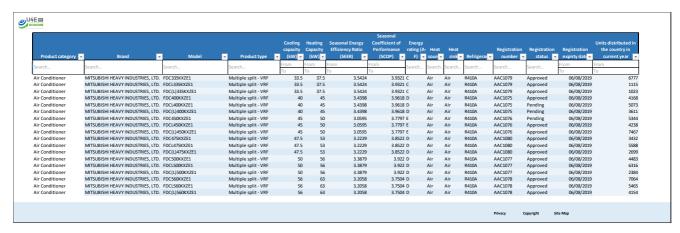
Manufacturer/I	Importer application form Logout >
Applicant Details	
② Company name*	
? Role of the applicant*	▼
? Contact persons name*	
② Contact persons title	
? Postal address*	
⑦ Town/City*	
? State/Province	
? Country*	
? Postal code	
? Contact phone*	
? Contact mobile*	
? Contact fax	
? Contact Email (user login)*	
? Password*	
? Repeat Password*	
② Certificate of incorporation*	File Name Browse File Size Note View   Delete
⑦ Tax clearance certificate *	File Name Browse File Size Note View   Delete
	Submit Application
An Initiative of UN Environment	Privacy Copyright Site Map

The user's account only becomes active (can log into the platform) after review and acceptance by a "program manager" user type, following the process diagram indicated below.



# 3.2.2. Product list page

This product list page is similar to the general public product list page, however, the data displayed is more detailed and limited to the products registered associated with the specific user account.



Clicking into an individual line, brings up the data entry forms for that particular product and its recorded data. Similarly to a program manager account, the manufacturer/importer has access to the MVE section for a particular product, but the only accessible MVE action is "in-country product sales". The manufacturer/importer account has read access to all the MVE actions recorded, however, it can only enter a "In-country product sales" MVE action. See section 3.3.3 for further details.

If the information is locked for edit or the user has "Read-only" access, the fields are greyed-out and cannot be modified (see Submission process in section 3.2.4 for information edit locks).

The fields displayed and their position in the table will be configurable by a Program manager through the administration area.

The system shall allow users to download in a spreadsheet format all the product data available under their access rights. The downloadable data points for the manufacturer/importer are indicated in section 4.

Finally, the application shall automatically inform the manufacturer/importer of products that will reach its registration expiry date in less than 6 months. The application will send all the users from a manufacturer/importer account an email informing of all expiring registrations as soon as one of these products reaches that point in the timeline.

#### 3.2.3. User management page

The user management page allows the user to access their account data, reset password, and modify their user profile except "Company name" and "Contact email".

An additional functionality of the user management page is the ability to invite another user to join the account with read/only or read/write access rights (i.e. same access rights as the inviter).

The purpose of this functionality is to allow importers to share the product registration tasks between various employees, and to transfer account management, should the person in charge of the product registration leaves the company.

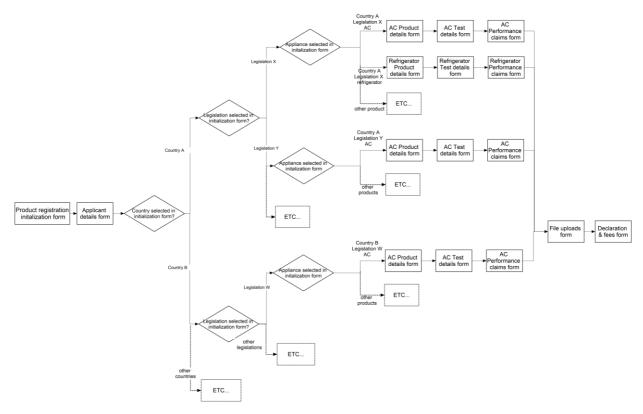
The user management page will also display the email addresses of users with access rights to the same account data and its level (read-only or read/write). Users with read/write access to the account may revoke access rights to other users.

To generate this invitation, the user indicates the email of the recipient and selects the level of access rights. The application then generates an invitation email to the selected user, with a link to a pre-populated user sign-up page. In the pre-populated sign-up page, the company information is pre-filled with the company information and is not modifiable by the new user.

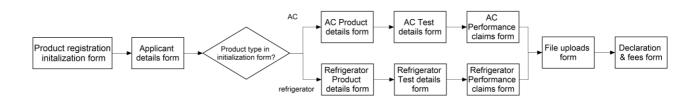
Once the new user completes the process, the application shall send the original user an email indicating the successful invitation.

#### 3.2.4. Data entry forms (New product registration)

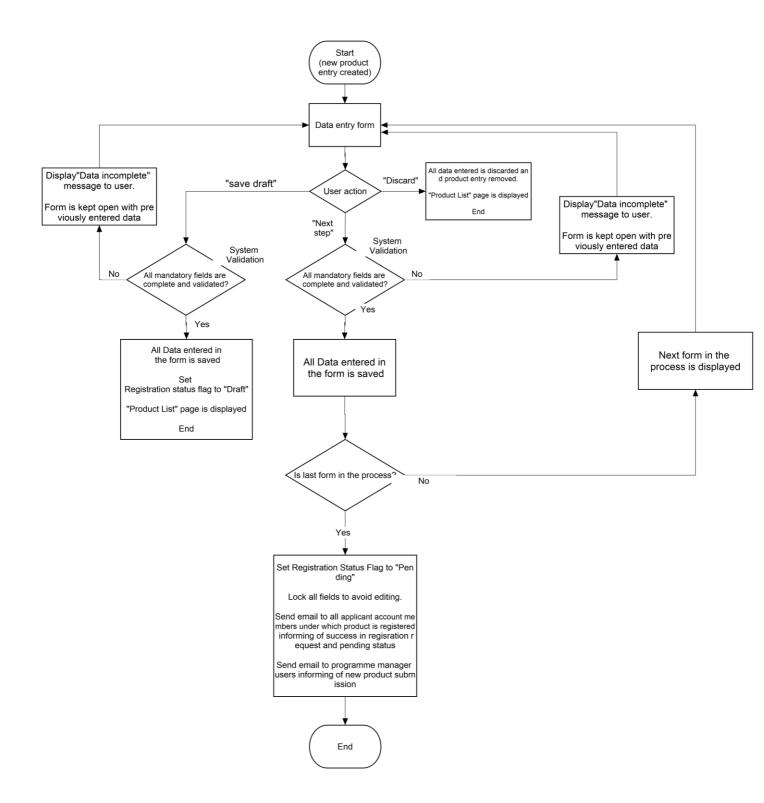
The data entry forms are displayed as an overlay of the main screens when the user clicks on "New product registration". The generic process flow for data entry in the various pages is indicated in the process diagram below.



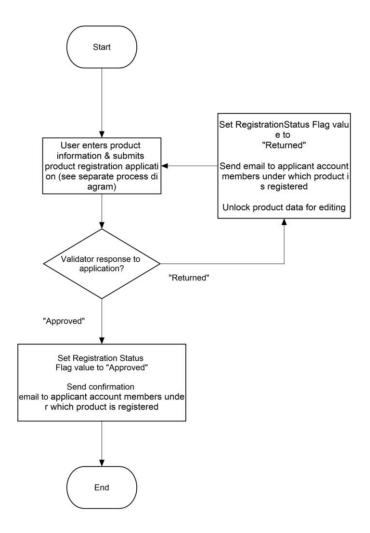
Note that the data entry flow allows for multiple legislations for the various products. The application shall be developed with only one form for each product (AC and refrigerators) for one country, resulting in the simplified structure indicated below. Nevertheless, the logic for selecting forms from different legislations shall be programmed in the system. This involves the utilization of specific tables addressing the needs of various legislations and testing standards, all based in the United for Efficiency Model Regulation Guidelines for AC and refrigerators. The data points and tables indicated in sections 5 and 7 are based on this model legislation.



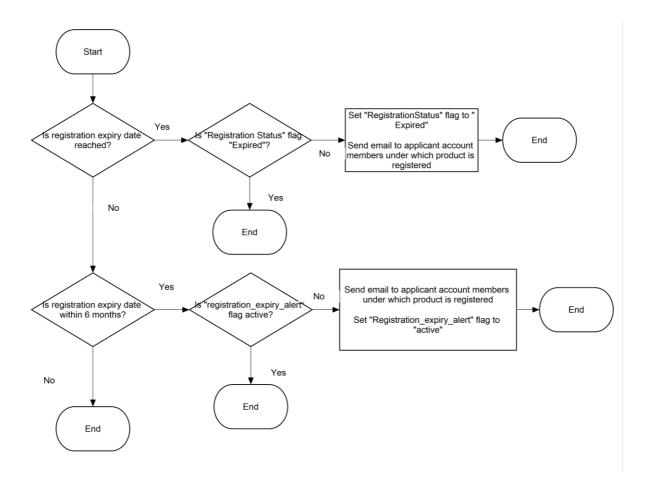
For illustration purposes only the forms for Air Conditioning (AC) are displayed as examples. The process flow between the various data input forms is indicated in the figure below.



Once a product registration is submitted for the program managers to approve, it follows the process diagram below:



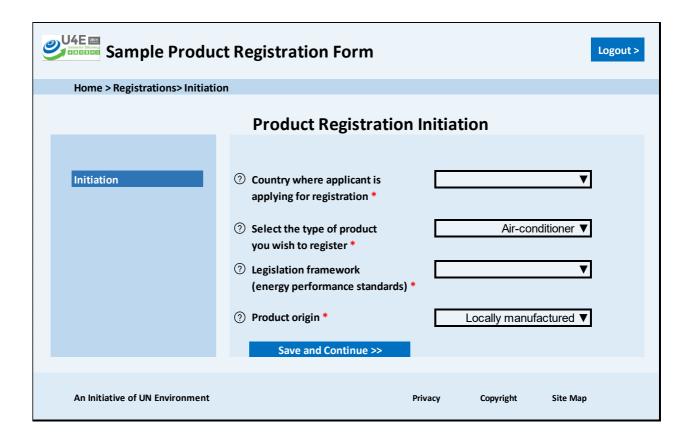
Additionally, the system performs a daily routine through all product registrations to evaluate the status of registrations in terms of its expiration dates. The routine is described in the process diagram below.



# 3.2.4.1. Product registration initialization

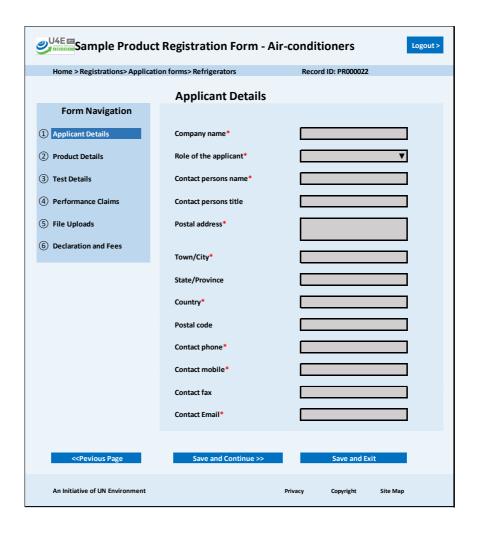
The "product registration initialization" form allows the user to enter the basic information for the product to be reported.

Selecting the "Product category", enables the display of the relevant forms and fields. In the example below, the selection of an Air Conditioner, triggers the appearance of the corresponding forms in the following steps.



# 3.2.4.2. Applicant details form

The form for the "Applicant details" consists of a series of fields which are pre-populated with information from the user account. These fields are "locked" and cannot be edited in this form. The purpose of including this form in the user interface is to serve as feedback to the user that the product being registered will be associated to their account.



#### 3.2.4.3. Product Details form

The product details form is the first product-specific form. It is divided into a general product information section, which is valid for all product categories, and another section with information exclusively valid for the category of product being registered.

As indicated in the data points and database schema in sections 4 and5, several data points are user-configurable lists, therefore, the entry form has to allow for the user to update those lists. Those lists are user-specific, and not available to other users.

#### 3.2.4.3.1. Product Details form - AC

The sample Product details form for Air Conditioners for the U4E model regulations is included below:

Sample Produc	t Registration Form - Air-con	nditioners Logout >
Home > Registrations> Applica	tion forms> Refrigerators	Record ID: PR000022
	Product Details	
Form Navigation	General Details	
	_	
1 Applicant Details	② Brand name* (Select from dropdown list - if your	
2 Product Details	brand does not appear in the dropdown	Add Another Brand
③ Test Details	list then select "Add Another Brand")	
	Model number/s*	1 Add >
4) Performance Claims	(include both indoor and outdoor unit model numbers for split systems)	
5) File Uploads		
6 Declaration and Fees	③ Global trade item number	
o beciaration and rees	? Manufacturers name*	
	② Country/s of manufacture*	1 Add >
	② Date of product availability*	
	Website address for further	
	product information	
	Appliance Specific Details	
	② Applicable climate class of air-con.*	Group 1: 2A Hot Humid ▼
	② Available operating modes *	Cooling and Heating ▼
	Type of unit *	Single split ▼
	? Condenser cooling medium? *	Air ▼
	② Does the air-conditioner have	Yes, variable (inverter) ▼
	adjustable output capacity? *	
	COOLING MODE	
	? Rated Input Power - Cooling *	kW
	? Rated Output Capacity - Cooling *	kW
	② Rated EER	kW/kW
	HEATING MODE	
	? Rated Input Power - Heating *	kW
	? Rated Output Capacity - Heating *	kW
	② Rated COP	kW/kW
	Refrigerant data	
	② Refrigerant type*	R32 <b>▼</b>
	? Refrigerant charge*	kg
	② Is refrig. pre-charged?*	No▼
	② Refrigerant GWP*	110 V
	? Refrigerant ODP*	
	J V	
< <pevious page<="" th=""><td>Save and Continue &gt;&gt;</td><td>Save and Exit</td></pevious>	Save and Continue >>	Save and Exit
An Initiative of UN Environment	P	Privacy Copyright Site Map

The fields under "Heating Mode" are only displayed if the product sub-category (see section 7.3) includes a "heat-pump" functionality.

The rated EER is calculated as rated output capacity cooling/rated input power cooling. The rated COP is calculated as rated output capacity heating/rated input power heating. The refrigerant GWP and ODP values are obtained from the tables in section 7.

# 3.2.4.3.2. Product Details form - Refrigerators

The sample Product details form for Refrigerators for U4E model regulations is included below:

Home > Registrations> Applica	tion forms> Refrigerators Record ID: PR000022
	Product Details
Form Navigation	General Details
1 Applicant Details 2 Product Details 3 Test Details 4 Performance Claims 5 File Uploads 6 Declaration and Fees	Brand name* (Select from dropdown list - if your brand does not appear in the dropdown list then select "Add Another Brand") Model number/s*  Global trade item number  Manufacturers name*  Country/s of manufacture*  Date of product availability*  Website address for further
	product information  Appliance Specific Details  Type of refrigerating appliance*
	Has the appliance automatic defrost?* Yes ▼  Total number of compartments*
	Compartment Details*  Compartment type  Design operating temperature  Net storage volume  Compartment 1  Compartment 1  Compartment 1  C  (ILitres)
	Total frozen food volume (ILitres)  Automatic Icemaker?*

The total fresh food volume is calculated as the sum of the volumes of all "Fresh food" compartment types.

The total frozen food volume is calculated as the sum of the volumes of all "Frozen food" compartment types.

# 3.2.4.3.3. Product Details form - Lighting

To be developed, based on U4E model regulations.

# 3.2.4.3.4. Product Details form - Electric motors

To be developed, based on U4E model regulations.

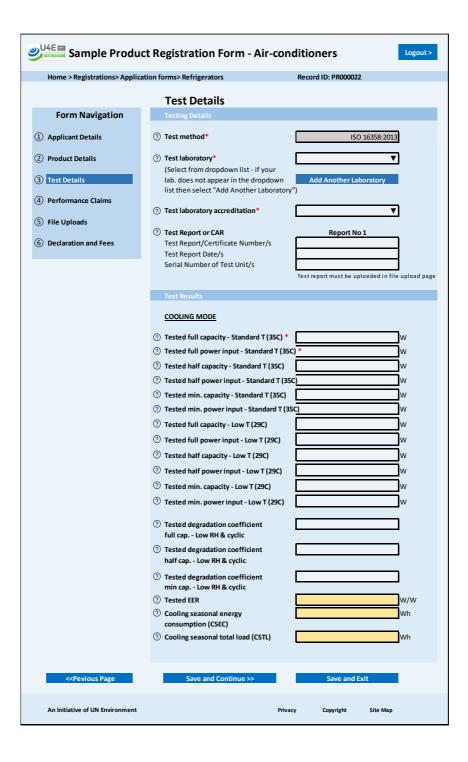
#### 3.2.4.4. Test Details form

The test details form serves to enter information about the results of the testing performed by the manufacturer. This data serves as background information to the performance claims entered in the following form.

As indicated in the data points and database schema in sections 4 and 5, several data points are user-configurable lists, therefore, the entry form has to allow for the user to update those lists. Two of those user-configurable lists will be system-wide available, while others will be exclusive to each user.

#### 3.2.4.4.1. Test Details form - AC

The sample form for ISO 16358:2013 test standard on which the U4E model regulations for air conditioners are based, is indicated below:



The factors which are used to determine the compliance of portable type of units is rather straight forward, and only involves the calculation of EER

(TBD norm ISO 18326:2018 not available)

The factors which are used to determine the compliance of ductless split units and self-contained units with the legislation and its corresponding energy efficiency rating are indicated in the points below:

Cooling Seasonal Energy Consumption (CSEC)

Cooling Seasonal Total Load (CSTL)

Cooling Seasonal Performance Factor (CSPF)

Heating Seasonal Total Load (HSTL)

Heating Seasonal Energy Consumption (HSEC)

Heating Seasonal Performance Factor (HSPF)

Annual Performance Factor (APF)

To be able to perform those calculations, further variables with special notation need to be defined:

- $t_i$  indicates an ambient temperature (in Celsius) of a certain "bin" j. Weather profiles are continuous sets of data points, which are binned (also known as "bucketed" or "quantized") into an "n" number of groups. The values of bin temperatures and corresponding hours of occurrence  $(h_i^{mode})$  for each climatic zone and for both cooling and heating modes are indicated in section 7.9.
- $\Phi_{condition}^{mode}(temp)$  indicates capacity of the AC unit at a certain condition (full, half or partial load), operating mode (heating or cooling) and ambient temperature. For example,  $\Phi_{ful}^c(35)$  indicates the full cooling capacity of the AC unit at 35C ambient temperature. It refers to a physical property of the AC unit, which is not fixed, as it changes with the ambient temperature.

#### 3.2.4.4.1.1. Test Details form – AC – CSEC, CSTL and CSPF calculations for fixed capacity, air condensed units (cooling).

The CSTL in this case is calculated with two components:

$$CSTL = \sum_{j=1}^{m} L^{c}(t_{j}) \times h_{j}^{c} + \sum_{j=m+1}^{n} \Phi_{ful}^{c}(t_{j}) \times h_{j}^{c}$$

Where:

 $L^{c}(t_{i})$  is the cooling load experienced by the AC unit at certain bin temperature  $(t_{i})$ . This is dependent on external factors and is calculated as follows:

$$L^{c}(t_{j}) = \Phi_{ful}^{c}(t_{FL}) \times \frac{t_{j} - t_{NL}}{t_{FL} - t_{NL}}$$
 , where:  
 $condots$   $condots$ 

- $h_i^c$  are the number of hours occurring at the bin temperature "j" for a particular weather profile during cooling operation (see section 7.9)
- $\Phi_{ful}^c(t_j)$  is calculated as follows:  $\Phi_{ful}^c(t_j) = \Phi_{ful}^c(35) + \frac{\Phi_{ful}^c(29) \Phi_{ful}^c(35)}{(35-29)} \times (35-t_j)$  where:

  o  $\Phi_{ful}^c(35)$  is the "Test result cooling full capacity at standard T(35C)" entered by the user
  - (mandatory input in this case).
  - $\circ$   $\Phi_{ful}^{c}(29)$  is the "Test result cooling full capacity at low T(29C)" entered by the user, or  $1.0777 \times \Phi_{ful}^{c}(35)$  in the case that the user does not enter this optional entry.

m is an intermediate bin between 1 and n, at which in the AC starting to operate at full load, i.e. the cooling load experienced by the AC unit is lower than its full cooling capacity, or expressed mathematically:  $L^{c}(t_{i}) \leq \Phi_{ful}^{c}(t_{i})$ 

The CSEC is calculated as follows:

$$CSEC = \sum_{j=1}^{n} X_{ful}^{c}(t_j) \times P_{ful}^{c}(t_j) \times \frac{h_j^{c}}{F_{PL-ful}^{c}(t_j)}$$

Where:

- $X_{ful}^c(t_j) = min\left(1, \frac{L^c(t_j)}{\Phi^c_{ful}(t_i)}\right)$  where those components are defined in the calculation of the CSTL
- $P_{ful}^c(t_j) = P_{ful}^c(35) + \frac{P_{ful}^c(29) P_{ful}^c(35)}{35 29} \times (35 t_j)$  where  $P_{ful}^c(35)$  is the "Test result cooling full power input at standard T(35C)" entered by the user (mandatory input in this case),
  - o  $P_{ful}^{c}(29)$  is the "Test result cooling full power input at low T(29C)" entered by the user, or  $0.914 \times P_{ful}^{c}(35)$  in the case that the user does not enter this optional entry.
- $F_{PL-ful}^c(t_j) = 1 C_{D-ful}^c \times [1 X_{ful}^c(t_j)]$  where
  - $C_{D-ful}^c$  is the "Test result cooling degradation coefficient at full capacity Low RH & cycl." entered by the user, or 0.25 in its absence.
- $h_i^c$  is, again, are the number of hours occurring at the bin temperature "j" for a particular weather profile during cooling operation (see section 7.9)

The CSPF is calculated as follows:

$$CSPF = \frac{CSTL}{CSEC}$$

#### Test Details form – AC – CSEC, CSTL and CSPF calculations for two-stage, air condensed units (cooling). 324412

The CSTL is calculated in the same way as with the fixed capacity units indicated in section Test Details form – AC - CSEC, CSTL and CSPF calculations for fixed capacity, air condensed units (cooling).

The CSEC is calculated as follows:

$$CSEC = \sum_{j=1}^{k} \frac{X_{min}^{c}(t_{j}) \times P_{min}^{c}(t_{j}) \times h_{j}^{c}}{F_{PL-min}^{c}(t_{j})} + \sum_{j=k+1}^{m} P_{mf}^{c}(t_{j}) \times h_{j}^{c} + \sum_{j=m+1}^{n} P_{ful}^{c}(t_{j}) \times h_{j}^{c}$$

Where:

- $X_{min}^{c}(t_{j}) = min\left(1, \frac{L^{c}(t_{j})}{\Phi_{min}^{c}(t_{j})}\right)$  where  $L^{c}(t_{j})$  is defined in the calculation of the CSTL and  $\Phi_{min}^{c}(t_{j})$  is

  - $\Phi^c_{min}(t_j) = \Phi^c_{min}(35) + \frac{\Phi^c_{min}(29) \Phi^c_{min}(35)}{35 29} \times (35 t_j) \text{ where:}$   $\Phi^c_{min}(35) \text{ is the "Test result cooling minimum capacity at standard T(35C)" entered by the user. or <math>\Phi^c_{min}(29) \div 1.077$  in the case that the user does not enter this optional entry.  $\Phi^c_{min}(29) \text{ is the "Test result cooling minimum capacity at low T(29C)" entered by the }$ 
    - user (mandatory input in this case).

- $P_{min}^c(t_j) = P_{min}^c(35) + \frac{P_{min}^c(29) P_{min}^c(35)}{35 29} \times (35 t_j)$  where  $P_{min}^c(35)$  is the "Test result cooling minimum power input at standard T(35C)" entered by the user, or  $P_{min}(29) \div 0.914$  in the case that the user does not enter this optional entry.
  - $P_{min}^{c}(29)$  is the "Test result cooling minimum power input at low T(29C)" entered by the user (mandatory input in this case).
- $F_{PL-min}^c(t_j) = 1 C_{D-min}^c \times [1 X_{min}^c(t_j)]$  where
  - $C_{D-min}^c$  is the "Test result cooling degradation coefficient at minimum capacity Low RH & cycl." entered by the user, or 0.25 in its absence.
- $P_{mf}^c(t_j) = X_{mf}^c(t_j) \times P_{min}^c(t_j) + (1 X_{mf}^c(t_j)) \times P_{ful}^c(t_j)$  where  $\circ X_{mf}^c(t_j) = \frac{\Phi_{ful}^c(t_j) L^c(t_j)}{\Phi_{ful}^c(t_j) \Phi_{min}^c(t_j)}$  where  $\Phi_{ful}^c(t_j)$  is defined in CSTL calculation
- $P_{ful}^{c}(t_{j})$  is defined in the CSEC calculation of the "fixed speed" AC units in section 3.2.4.4.1.1
- $h_i^c$  are, again, the number of hours occurring at the bin temperature "j" for a particular weather profile during cooling operation (see section 7.9)
- k is an intermediate bin between 1 and n, at which in the AC starts to operate at minimum capacity, i.e. the addition from j=1 until j=k is active while cooling load experienced by the AC unit is lower than its minimum cooling capacity, or expressed mathematically:  $L_c(t_i) \leq \Phi_{min}^c(t_i)$
- m is an intermediate bin between k and n, at which in the AC starting to operate at full load, i.e. the addition from j=k+1 until j=m is active while the cooling load experienced by the AC unit is greater than its minimum cooling capacity but lower than its full cooling capacity, or expressed mathematically:  $\Phi_{min}^c(t_i) \leq L^c(t_i) \leq \Phi_{ful}^c(t_i)$

The CSPF is calculated as follows:

$$CSPF = \frac{CSTL}{CSEC}$$

#### 3.2.4.4.1.3. Test Details form – AC – CSEC, CSTL and CSPF calculations for multi-stage, air condensed units (cooling).

The CSTL is calculated in the same way as with the fixed capacity units indicated in section Test Details form - AC - CSEC, CSTL and CSPF calculations for fixed capacity, air condensed units (cooling).

The CSEC is calculated as follows:

$$CSEC = \sum_{j=1}^{k} \frac{X_{min}^{c}(t_{j}) \times P_{min}^{c}(t_{j}) \times h_{j}^{c}}{F_{PL-min}^{c}(t_{j})} + \sum_{j=k+1}^{p} P_{mh}^{c}(t_{j}) \times h_{j}^{c} + \sum_{j=p+1}^{m} P_{hf}^{c}(t_{j}) \times h_{j}^{c} + \sum_{j=m+1}^{n} P_{ful}^{c}(t_{j}) \times h_{j}^{c}$$

- $X_{min}^c(t_j)$  is defined in the CSEC calculation of the "two-stage" AC units in section 3.2.4.4.1.2
- $P_{min}^{c}(t_i)$  is defined in the CSEC calculation of the "two-stage" AC units in section 3.2.4.4.1.2
- $F_{PL-min}^c(t_i)$  is defined in the CSEC calculation of the "two-stage" AC units in section 3.2.4.4.1.2
- $P_{mh}^{c}(t_j) = X_{mh}^{c}(t_j) \times P_{min}^{c}(t_j) + (1 X_{mh}^{c}(t_j)) \times P_{haf}^{c}(t_j)$  where

  o  $X_{mh}^{c}(t_j) = \frac{\Phi_{haf}^{c}(t_j) L^{c}(t_j)}{\Phi_{haf}^{c}(t_j) \Phi_{min}^{c}(t_j)}$  where  $L^{c}(t_j)$  is defined in the calculation of the CSTL,  $\Phi_{min}^{c}(t_j)$  is defined in the CSEC calculation of the "two stage" AC units in section 3.2.4.4.1.2 and  $\Phi^c_{haf}(t_i)$  is calculated as follows:

• 
$$\Phi_{haf}^{c}(t_j) = \Phi_{haf}^{c}(35) + \frac{\Phi_{haf}^{c}(29) - \Phi_{haf}^{c}(35)}{35 - 29} \times (35 - t_j)$$
 where:

- $\Phi^c_{haf}(35)$  is the "Test result cooling half capacity at standard T(35C)" entered by the user. or  $\Phi^c_{haf}(29) \div 1.077$  in the case that the user does not enter this
- $\Phi_{haf}^{c}(29)$  is the "Test result cooling half capacity at low T(29C)" entered by the

$$P_{haf}^{c}(t_{j}) = P_{haf}^{c}(35) + \frac{P_{haf}^{c}(29) - P_{haf}^{c}(35)}{35 - 29} \times (35 - t_{j}) \text{ where}$$

- user (mandatory input in this case).

  o  $P_{haf}^{c}(t_{j}) = P_{haf}^{c}(35) + \frac{P_{haf}^{c}(29) P_{haf}^{c}(35)}{35 29} \times (35 t_{j})$  where

    $P_{haf}^{c}(35)$  is the "Test result cooling half power input at standard T(35C)" entered by the user, or  $P_{haf}^{c}(29) \div 0.914$  in the case that the user does not enter this optional entry.
  - $P_{haf}^{c}(29)$  is the "Test result cooling half power input at low T(29C)" entered by the user (mandatory input in this case).
- and  $\Phi^c_{haf}(t_i)$  is defined above in this section.
  - o  $P_{haf}^{c}(t_{j})$  is defined above in this section.
- $P_{ful}^c(t_i)$  is defined in the CSEC calculation of the "fixed speed" AC units in section 3.2.4.4.1.1
- $h_i^c$  are, again, the number of hours occurring at the bin temperature "j" for a particular weather profile during cooling operation (see section 7.9)
- k is an intermediate bin between 1 and n, at which in the AC starts to operate at minimum capacity, i.e. the addition from j=1 until j=k is active while the cooling load experienced by the AC unit is lower than its minimum cooling capacity, or expressed mathematically:  $L^c(t_i) \leq \Phi_{min}^c(t_i)$
- p is an intermediate bin between 1 and n, at which the AC starts to operate at half capacity, i.e. the addition from j=k+1 until j=p is active while the cooling load experienced by the AC unit is greater than its minimum cooling capacity but less than half of its cooling capacity, or expressed mathematically:  $\Phi_{min}^c(t_i) \leq$  $L^{c}(t_{i}) \leq \Phi_{haf}^{c}(t_{i})$
- m is an intermediate bin between k and n, at which in the AC starting to operate at full load, i.e. the addition from j=k+1 until j=m is active while the cooling load experienced by the AC unit is greater than its half of its cooling capacity but lower than its full cooling capacity, or expressed mathematically:  $\Phi_{haf}^{c}(t_i) \leq L^{c}(t_i) \leq \Phi_{ful}^{c}(t_i)$

As the minimum capacity and power input are not mandatory data entries for multi-stage units, in the case that the user does not provide those points, the CSEC for multi-stage units may be calculated as follows:

$$CSEC = \sum_{j=1}^{p} \frac{X_{althaf}^{c}(t_{j}) \times P_{haf}^{c}(t_{j}) \times h_{j}^{c}}{F_{PL-haf}^{c}(t_{j})} + \sum_{j=p+1}^{m} P_{hf}^{c}(t_{j}) \times h_{j}^{c} + \sum_{j=m+1}^{n} P_{ful}^{c}(t_{j}) \times h_{j}^{c}$$

where

- $$\begin{split} \bullet & \quad X^c_{althaf}(t_j) = min \; \left(1 \,, \; \; \frac{L_c(t_j)}{\phi^c_{haf}(t_j)} \right) \\ \bullet & \quad F^c_{PL-haf}(t_j) = 1 C^c_{D-haf} \times \left[1 X^c_{haf}(t_j)\right] \text{ where} \\ & \quad \circ \quad C^c_{D-haf} \text{ is the "Test result cooling degradation coefficient at half capacity Low RH & cycl."} \end{split}$$
  - entered by the user, or 0.25 in its absence.

    o  $X_{haf}^{c}(t_{j}) = min\left(1, \frac{L^{c}(t_{j})}{\Phi_{haf}^{c}(t_{j})}\right)$  where  $L^{c}(t_{j})$  is defined in the calculation of the CSTL and  $\Phi_{haf}^{c}(t_{j})$ is calculated as follows

 $\Phi_{haf}^{c}(t_{j}) = \Phi_{haf}^{c}(35) + \frac{\Phi_{haf}^{c}(29) - \Phi_{haf}^{c}(35)}{35 - 29} \times (35 - t_{j}) \text{ where:}$   $\Phi_{haf}^{c}(35) \text{ is the "Test result cooling - half capacity at standard T(35C)" entered}$ 

- by the user. or  $\Phi_{haf}^{c}(29) \div 1.077$  in the case that the user does not enter this optional entry.
- $\Phi_{haf}^{c}(29)$  is the "Test result cooling half capacity at low T(29C)" entered by the user (mandatory input in this case).
- due to the absence of a minimum capacity, p's lower bound is 0
- the rest of the variables are previously defined.

The CSPF is calculated as follows:

$$CSPF = \frac{CSTL}{CSEC}$$

3.2.4.4.1.4. Test Details form – AC – CSEC, CSTL and CSPF calculations for variable capacity, air condensed units (cooling).

The calculations of the CSTL, CSEC and CSPF are the same as with multi-stage units, including the case where the minimum capacity and power input data are not available. The only difference is that the mandatory inputs at half capacity are those at 35C. The conditions at 29C may be calculated reversing the equation.

3.2.4.4.1.5. Test Details form – AC – CSEC, CSTL and CSPF calculations for water condensed units (cooling).

The Model regulations exclude water-condensed units, therefore, the application must display an error message to the user with this information.

3.2.4.4.1.6. Test Details form – AC – HSTL, HSEC, HSPF and APF calculations for fixed capacity, air condensed units (heating).

The HSTL is calculated as follows:

$$HSTL = \sum_{j=1}^{n} L^{h}(t_{j}) \times h_{j}^{h}$$

Where:

•  $L^h(t_j)$  is the heating load experienced by the AC unit at certain bin temperature (tj). This is dependent on external factors and is calculated as follows:

$$L^h(t_j) = \frac{\Phi_{ful}^h(7) \times 0.82 \times (17 - t_j)}{(17 - 0)} \quad \text{where}$$

$$\Phi_{ful}^h(7) = \text{"Test result heating - full capacity, standard T (7C)" as entered by the user.}$$

•  $h_j^h$  are the number of hours occurring at the bin temperature "j" for a particular weather profile during heating operation (see section 7.9)

The HSEC is calculated as follows:

$$HSEC = \sum_{j=1}^{n} \frac{X_{ss}^{h}(t_{j}) \times P_{ss}^{h}(t_{j}) \times h_{j}^{h}}{PLF_{ss}(t_{j})} + \sum_{j=1}^{n} P_{RH-ss}^{h}(t_{j})$$

Where:

• 
$$X_{ss}^h(t_j) = \begin{cases} if -7^{\circ}\text{C} < t_j < 5^{\circ}\text{C}, & then \ X_{ss}^h(t_j) = min\left(1, \frac{L^h(t_j)}{\phi_{ful,f}^h(t_j)}\right) \\ if \ t_j \leq -7^{\circ}\text{C} \ or \ t_j \geq 5^{\circ}\text{C}, & then \ X_{ss}^h(t_j) = min\left(1, \frac{L^h(t_j)}{\phi_{ful,f}^h(t_j)}\right) \end{cases}$$
 where 
$$0 \quad L^h(t_j) \text{ is defined in the HSTL calculation above.}$$

$$0 \quad \phi_{ful,f}^h(t_j) = \phi_{ful}^h(-7) + \frac{\phi_{ful,f}^h(2) - \phi_{ful}^h(-7)}{2 - (-7)} \times \left(t_j - (-7)\right) \text{ where:}$$

- $\Phi^h_{ful}(-7)$  "Test result heating full capacity, extra-low T (-7C)" as entered by the user, or  $0.64 \times \Phi_{ful}^h(7)$  in the case that the user does not enter this optional entry.
- $\Phi_{ful,f}^h(2)$  = "Test result heating full capacity frosting, low T (2C)" as entered by the user.
- $\Phi_{ful}^h(t_j) = \Phi_{ful}^h(-7) + \frac{\Phi_{ful}^h(7) \Phi_{ful}^h(-7)}{7 (-7)} \times \left(t_j (-7)\right) \text{ where:}$   $\Phi_{ful}^h(7) \text{ "Test result heating full capacity, standard T (7C)" as entered by the user.}$
- $$\begin{split} P_{ss}^h(t_j) = \\ & \left\{ \begin{array}{c} if 7^{\circ}\mathrm{C} < t_j < 5^{\circ}\mathrm{C}, \ then \ P_{ss}^h(t_j) = P_{ful}(-7) + \frac{P_{ful,f}(2) P_{ful,i}(-7)}{2 (-7)} \times \left(t_j (-7)\right) \\ if \ t_j \leq -7^{\circ}\mathrm{C} \ or \ t_j \geq 5^{\circ}\mathrm{C}, \\ \left\{ \begin{array}{c} if \ X_{ss}^h(t_j) < 1 \ then \ P_{ss}^h(t_j) = P_{ful}(-7) + \frac{P_{ful}(7) P_{ful}(-7)}{7 (-7)} \times \left(t_j (-7)\right) \\ if \ X_{ss}^h(t_j) = 1 \ then \ P_{ss}^h(t_j) = 0 \end{array} \right. \end{split}$$

- $P_{ful}(7)$  = "Test result heating full power input, standard T (7C)" as entered by the user.
- P<sub>ful,f</sub>(2) = "Test result heating full power input, low T (2C)" as entered by the user.
   P<sub>ful</sub>(-7) = "Test result heating full power input, ultra-low T (-7C)" as entered by the user, or 0.82 × P<sup>h</sup><sub>ful</sub>(7) in the case that the user does not enter this optional entry.
- $PLF_{ss}(t_j) = 1 C_{D-ful}^h \times (1 X_{ss}^h(t_j))$  where
  - $C_{D-ful}^h$  is the "Test result heating degradation coefficient at full capacity Cyclic heating" entered by the user, or 0.25 in its absence.
- $\bullet \quad P_{RH-ss}^{h}(t_{j}) = \begin{cases} if \ -7^{\circ}\text{C} < t_{j} < 5^{\circ}\text{C}, \ then \ P_{RH-ss}^{h}(t_{j}) = \left(L^{h}(t_{j}) \Phi_{ful,f}^{h}(t_{j})\right) \\ if \ t_{j} \leq -7^{\circ}\text{C} \ or \ t_{j} \geq 5^{\circ}\text{C} \end{cases} \begin{cases} if \ X_{ss}^{h}(t_{j}) < 1 \ then \ P_{RH-ss}^{h}(t_{j}) = \left(L^{h}(t_{j}) \Phi_{ful}^{h}(t_{j})\right) \\ if \ X_{ss}^{h}(t_{j}) = 1 \ then \ P_{RH-ss}^{h}(t_{j}) = 0 \end{cases}$  where all the variables have been previously define

3.2.4.4.1.7. Test Details form - AC - HSTL, HSEC. HSPF and APF calculations for two-stage, air condensed units (heating).

TBD, as per ISO 16358-2

3.2.4.4.1.8. Test Details form - AC - HSTL, HSEC, HSPF and APF calculations for multi-stage, air condensed units (heating).

TBD, as per ISO 16358-2

3.2.4.4.1.9. Test Details form – AC – HSTL, HSEC, HSPF and APF calculations for variable capacity, air condensed units (heating).

TBD, as per ISO 16358-2

3.2.4.4.1.10. Test Details form – AC – HSTL, HSEC and APF calculations for water condensed units (heating).

The Model regulations exclude water-condensed units, therefore, the application must display an error message to the user with this information.

#### 3.2.4.4.2. Test Details form - Refrigerators

The sample form for IEC 62552:2015 test standard on which the U4E model regulations for air conditioners are based, is indicated below:

Sample Product	Registration Form - Refrig	gerators Logout>
Home > Registrations> Application	on forms> Refrigerators	Record ID: PR000022
	Test Details	
Form Navigation	Testing Details	
① Applicant Details	Test method*	IEC 62552:2015
② Product Details	Test laboratory* (Select from dropdown list - if your	▼
3 Test Details	lab. does not appear in the dropdowr	
4 Performance Claims	list then select "Add Another Laborat  Test laboratory accreditation*	ory")  ▼
⑤ File Uploads	·	
6 Declaration and Fees	Test Report or CAR Test Report/Certificate Number/s Test Report Date/s	Report No 1 Add >
	Test Results  Adjusted volume (AV)*  24 hour energy consumption (16C)*  24 hour energy consumption (32C)*  Weighted energy consumption*  Annual energy consumption*	Litres  Wh/24hours  Wh/24hours  Wh/24hours  kWh/year
< <pevious page<="" th=""><th>Save and Continue &gt;&gt;</th><th>Save and Exit</th></pevious>	Save and Continue >>	Save and Exit
An Initiative of UN Environment	Priva	acy Copyright Site Map

The adjusted volume is calculated as follows:

$$Adjusted\ Volume\ (AV) = \sum_{i=1}^{n} (Volume_i \times K_i \times F_i)$$

where

 $Volume_i$  = net volume of compartment i

 $F_i$  is frost adjustment factor of compartment i. F=1.1 for frozen food compartments that have automatic defrost. F=1.0 is applied to all other compartments and frozen food compartments without automatic defrost.

Ki is volume adjustment factor for compartment i:

Ki=1 for fresh food compartments

 $\text{Ki} = \frac{T_1 - T_c}{T_1 - T_2}$  (rounded to two decimal places), for all other compartments where

T1 is reference ambient temperature selected by the country (defined in each regulation for refrigerators, in the U4E model regulations, this is 24°C)

T2 is temperature of fresh-food compartment (4°C), and

Tc is temperature of the individual compartment concerned.

The Weighted energy consumption (Wh in 24h) is calculated as interpolation of the tested energy consumption at 16°C and 32°C, using the reference ambient temperature selected by the country as interpolation value.

For example, in the case of reference ambient temperature =  $24^{\circ}$ C, the weighted energy consumption is  $0.5^{*}$ (Test energy consumption  $16^{\circ}$ C)+ $0.5^{*}$ (Test energy consumption  $32^{\circ}$ C)

Annual energy consumption (AEC) = Weighted energy consumption \* (365/1000) in kWh. This is the "calculated energy consumption" by the system, which will be compared with the "claimed energy consumption" in he performance claims page.

# 3.2.4.4.3. Test Details form - Lighting

To be developed, based on U4E model regulations.

#### 3.2.4.4.4. Test Details form - Electric motors

To be developed, based on U4E model regulations.

#### 3.2.4.5. Performance Claims form

The performance claims form allows the user to enter key data about the product being registered. The application also performs calculations based on the data entered and the test method applicable.

#### 3.2.4.5.1. Performance Claims form - AC

The sample form for the U4E model regulations for air conditioners is indicated below:

Sample Produc	t Registration Form - Air-conditioners
Home > Registrations> Applicat	ion forms> Refrigerators Record ID: PR000022
	Performance Claims
Form Navigation	Energy Performance
① Applicant Details	Olaimed annual kw/kw performance factor *
2 Product Details	
③ Test Details	Minimum permitted annual performance factor *
Performance Claims	② Does this product meet the min. Yes
(5) File Uploads	energy performance requirements?*
6 Declaration and Fees	Does the refrigerant meet the min.     refrigerant performance requirements?*
	③ Energy efficiency rating*
< <pevious page<="" th=""><th>Save and Continue &gt;&gt; Save and Exit</th></pevious>	Save and Continue >> Save and Exit
An Initiative of UN Environment	Privacy Copyright Site Map

Claimed annual performance factor will be entered by the manufacturer/importer and will be compared to the annual performance factor calculated by the tool in the test details page. If the claimed annual performance factor is higher than the calculated one, an error message will be displayed.

The maximum permitted annual performance factors depend on the type of air conditioner, and whether it has cooling or cooling+heating capability.

# **Ductless and self-contained air-conditioners:**

In the case that the ductless or self-contained air conditioner has "cooling" capability only, the maximum permitted annual performance factor is determined from the table below:

Category	Group 1	Group 2	Group 3
CC≤ 4.5 kW	6.10	5.00	5.30
4.5 kW < CC ≤ 9.5 kW	5.10	4.30	4.60
9.5 kW < CC ≤ 16.0 kW	4.50	3.80	4.10

#### Where

CC corresponds to "Cooling Capacity" of the unit and,

The group number corresponds to the primary group of the country where the registration is being performed. See section 7 for group equivalencies of each country.

In the case that the ductless or self-contained air conditioner has "<a href="heating">heating</a> and cooling" capabilities, the maximum permitted annual performance factor is determined from the table below:

Category	Group 1	Group 2	Group 3

CC≤ 4.5 kW	5.00	4.00	3.10
4.5 kW < CC ≤ 9.0 kW	4.00	3.60	2.50
9.5 kW < CC ≤ 16.0 kW	3.60	3.40	2.30

#### Where

CC corresponds to "Cooling Capacity" of the unit and,

The group number corresponds to the primary group of the country where the registration is being performed. See section 7 for group equivalencies of each country.

In addition to the above requirements, countries in Group 3 can optionally have additional minimum requirements for coefficient of performance (COP) at the extremely low temperature heating capacity test, as per table below

Extremely low temperature heating capacity	СОР	
Temperature of air entering indoor side DB/WB 20 °C / 15 °C		
Temperature of air entering outdoor side DB/WB –15 °C / -	2.00	

#### Portable air conditioners

Portable air conditioner have common requirements for all units. The minimum permitted annual performance factor for cooling operation (EER, in this case) and for heating operation (COP), if it had this capability, are indicated below:

Category	Cooling (EER)	Heating (COP)
All	3.1	3.1

If the product's calculated performance factor is larger than the minimum permitted annual energy performance factor, then the product meets with the minimum energy performance requirements

If the product's refrigerant ODP and GWP are below the required refrigerant ODP and GWP in the AC regulation, then the product meets the minimum refrigerant performance requirements.

The energy efficiency rating for air conditioners with cooling-only capability, is derived from the table below:

Climate Group	Grade	Rated Cooling Capacity≤4.5 kW	4.5 kW < Rated Cooling Capacity ≤ 9.5 kW	9.5 kW < Rated Cooling Capacity ≤ 16.0 kW
	High Efficiency	8.00 ≤ CSPF	7.60 ≤ CSPF	7.10 ≤ CSPF
Group 1	Intermediate	7.10 ≤ CSPF < 8.00	6.40 ≤ CSPF < 7.60	5.80 ≤ CSPF < 7.10
	Low Efficiency	6.10 ≤ CSPF < 7.10	5.10 ≤ CSPF < 6.40	4.50 ≤ CSPF < 5.80
	High Efficiency	6.50 ≤ CSPF	6.20 ≤ CSPF	5.80 ≤ CSPF
Group 2	Intermediate	5.80 ≤ CSPF < 6.50	5.30 ≤ CSPF < 6.20	4.80 ≤ CSPF < 5.80
	Low Efficiency	5.00 ≤ CSPF < 5.80	4.30 ≤ CSPF < 5.30	3.80 ≤ CSPF < 4.80

	High Efficiency	6.70 ≤ CSPF	6.40 ≤ CSPF	6.00 ≤ CSPF
Group 3	Intermediate	6.00 ≤ CSPF < 6.70	5.50 ≤ CSPF < 6.40	$5.10 \le CSPF < 6.00$
	Low Efficiency	5.30 ≤ CSPF < 6.00	4.60 ≤ CSPF < 5.50	4.10 ≤ CSPF < 5.10

Where the CSPF is the Cooling Seasonal Performance Factor calculated in the "Test details" page. In the case of cooling-only equipment this corresponds to the Cooling Seasonal Performance Factor.

The energy efficiency rating for air conditioners with heating and cooling capability, is derived from the table below:

Climate Group	Grade	Rated Cooling Capacity ≤ 4.5 kW	4.5 kW < Rated Cooling Capacity ≤ 9.5 kW	9.5 kW < Rated Cooling Capacity ≤ 16.0 kW
	High Efficiency	7.10 ≤ APF	6.40 ≤ APF	5.80 ≤ APF
Group 1	Intermediate	$6.10 \le APF < 7.10$	5.20 ≤ APF < 6.40	4.70 ≤ APF < 5.80
Low Efficiency		$5.00 \le APF < 6.10$	4.00 ≤ APF < 5.20	$3.60 \le APF < 4.70$
	High Efficiency	5.20 ≤ APF	4.80 ≤ APF	4.60 ≤ APF
Group 2	Intermediate	$4.60 \le APF < 5.20$	4.20 ≤ APF < 4.80	4.00 ≤ APF < 4.60
	Low Efficiency	$4.00 \le APF < 4.60$	3.60 ≤ APF < 4.20	$3.40 \le APF < 4.00$
	High Efficiency	4.60 ≤ APF	4.00 ≤ APF	3.70 ≤ APF
Group 3	Intermediate	$3.90 \le APF < 4.60$	3.30 ≤ APF < 4.00	$3.00 \le APF < 3.70$
	Low Efficiency	$3.10 \le APF < 3.90$	2.50 ≤ APF < 3.30	2.30 ≤ APF < 3.00

Where the APF is the Annual Performance Factor calculated in the "Test details" page.

# 3.2.4.5.2. Performance Claims form - Refrigerators

The sample form for the U4E model regulations for refrigerators is indicated below:

Sample Product Registration Form - Refrigerators				
Home > Registrations> Application	on forms> Refrigerators Record ID: PR000022			
	Performance Claims			
Form Navigation	Energy Performance			
Applicant Details     Product Details	Claimed annual kWh/year energy consumption *			
③ Test Details	Maximum permitted annual kWh/year energy consumption *			
Performance Claims     File Uploads     Declaration and Fees	Does this product meet the min. energy performance requirements?*  Energy efficiency rating*			
	Refrigerant type*  Refrigerant GWP*  Refrigerant ODP*  Does the refrigerant meet the min. performance requirements?*  Blowing Agent Performance  Blowing agent type*  Blowing agent GWP*  Blowing agent ODP*  Does the blowing agent meet the min. performance requirements?*			
< <pevious page<="" th=""><th>Save and Continue &gt;&gt; Save and Exit</th></pevious>	Save and Continue >> Save and Exit			
An Initiative of UN Environment	Privacy Copyright Site Map			

Claimed annual energy consumption will be entered by the manufacturer/importer and will be compared to the annual energy consumption calculated by the tool with the data from the test details page. If the claimed energy consumption is lower than the calculated one, an error message will be displayed.

The maximum permitted annual energy consumption (AEC<sub>Max</sub>) is determined from the formulas below:

Product Category	AEC <sub>Max</sub> (kWh/year)
Refrigerators	$A_r \times AV + B_r$
Refrigerator-Freezers	$A_{rf} \times AV + B_{rf}$
Freezers	$A_f \times AV + B_f$

Where AV is the Adjusted Volume calculated in the Test Details page.

 $A_r$  is calculated as interpolation of the  $A_{r20}$  and  $A_{r32}$  at 20°C and 32°C, using the reference ambient temperature selected by the country as interpolation value.  $A_r$  is rounded to 3 decimals

 $B_r$  is calculated as interpolation of the  $B_{r20}$  and  $B_{r32}$  at 20°C and 32°C, using the reference ambient temperature selected by the country as interpolation value.  $B_r$  is rounded to the nearest integer.

 $A_{rf}$  is calculated as interpolation of the  $A_{rf20}$  and  $A_{rf32}$  at 20°C and 32°C, using the reference ambient temperature selected by the country as interpolation value.  $A_{rf}$  is rounded to three decimals

 $B_{rf}$  is calculated as interpolation of the  $B_{rf20}$  and  $B_{rf32}$  at 20°C and 32°C, using the reference ambient temperature selected by the country as interpolation value.  $B_{rf}$  is rounded to the nearest integer.

 $A_{\rm f}$  is calculated as interpolation of the  $A_{\rm f20}$  and  $A_{\rm f32}$  at 20°C and 32°C, using the reference ambient temperature selected by the country as interpolation value.  $A_{\rm f}$  is rounded to three decimals

 $B_f$  is calculated as interpolation of the  $B_{f20}$  and  $B_{f32}$  at 20°C and 32°C, using the reference ambient temperature selected by the country as interpolation value.  $B_f$  is rounded to the nearest integer.

Refrige	rators	Refrigerators-Freezers		Freezers	
A <sub>r20</sub>	0.134	$A_{rf20}$	0.188	$A_{f20}$	0.175
A <sub>r32</sub>	0.22	A <sub>rf32</sub>	0.288	A <sub>f32</sub>	0.268
B <sub>r20</sub>	84	B <sub>rf20</sub>	137	B <sub>f20</sub>	161
B <sub>r32</sub>	137	B <sub>rf32</sub>	210	B <sub>f32</sub>	247

The product meets the minimum energy performance requirements if the claimed annual energy consumption is lower than the maximum permitted annual energy consumption.

If the product's refrigerant ODP and GWP are below the required refrigerant ODP and GWP in the AC regulation, then the product meets the minimum refrigerant performance requirements.

If the product's blowing agent ODP and GWP are below the required blowing agent ODP and GWP in the AC regulation, then the product meets the minimum blowing agent performance requirements.

The energy efficiency rating for a product is determined from the table below:

Grade	Refrigerators	Refrigerator-Freezers	Freezers
High Efficiency	1.50 ≤ R	1.50 ≤ R	1.50 ≤ R
Intermediate	1.25 ≤ R < 1.50	1.25 ≤ R < 1.50	1.25 ≤ R < 1.50
Low Efficiency	1.00 ≤ R < 1.25	1.00 ≤ R < 1.25	1.00 ≤ R < 1.25

Where  $R = AEC_{Max} / AEC$  of the product.

R is rounded to two decimals.

# 3.2.4.5.3. Performance Claims form - Lighting

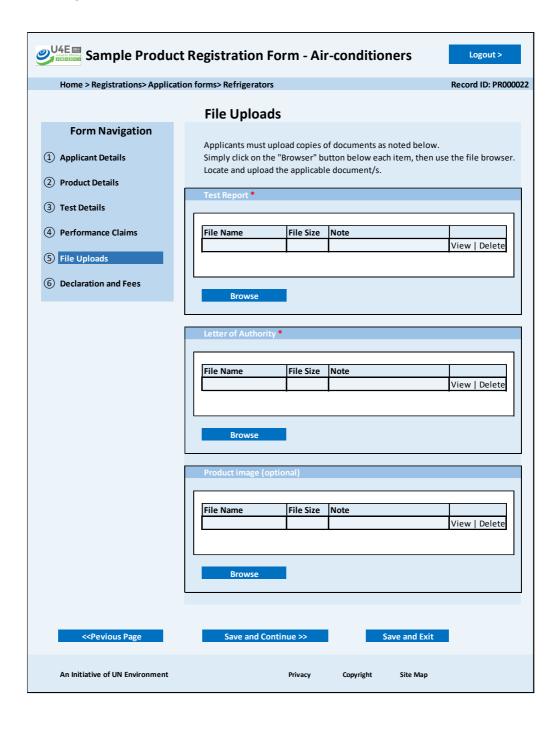
To be developed, based on U4E model regulations.

#### 3.2.4.5.4. Performance Claims form – Electric motors

To be developed, based on U4E model regulations.

#### 3.2.4.6. File uploads form

In the file upload form, the user is able to upload a test report, a letter of authority and an image of the product. The file formats shall be limited to images (jpg, png, etc.), or general documents (pdf, doc, dox, xls, etc.). The maximum filesize shall be 5MB. The user shall be informed of any errors during the upload process and receive confirmation if the upload has been successful.



#### 3.2.4.7. Declaration and fees form

The declaration page allows the user to attest that the product conforms with the requirements of the applicable directives and pay any applicable fees.

The payment of fees may be performed online or offline (through bank transfer). The development team shall propose the implementation of a payment gateway to be integrated in the application. The payment gateway must be available in a wide range of developing countries and not be subject to maintenance fees.

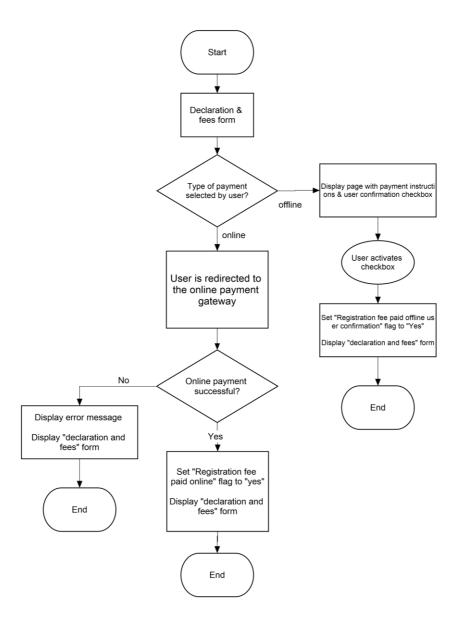
The user will be requested to select a payment method:

If the user selects online payment, the payment gateway will process payment and automatically change the status of the "Registration fee paid online" flag to "Yes".

If the user the payment offline (through bank transfer) an overlaid page will be displayed with payment instructions such as bank account, name, bank address and reference number to be quoted in the transfer description. The page will include a mandatory entry check-box confirming that payment will be processed. The confirmation of offline payment will be an MVE action, as defined in section 3.3.3.

A sample "declaration and fees" page as well as a process diagram detailing the various options are indicated below. A validation condition for the user to submit the application consists in that either the "Registration fee paid offline user confirmation" flag or the "Registration fee paid online" flag must have been set to "Yes".

Sample Product	Registration Form - Air-conditioners
Home > Registrations> Application	on forms> Refrigerators Record ID: PR000022
	Declaration and Fees
Form Navigation	Fees
① Applicant Details	The following fee is applicable
② Product Details	NOTE:
③ Test Details	To pay this fee electronically left mouse click on the "Pay Fee" Button below. If you intend to, or have already paid the required fee directly to the
Performance Claims	finance section of the department insert the receipt number issued to you in the field below.
⑤ File Uploads	Pay Fee online >> Pay Fee through bank transfer >>
6 Declaration and Fees	Receipt Number (if applicable)
	At the time of making this application I declare that I:  • am the Authorised Officer for the entity submitting the application; • am authorised by the applicant to make this application, to deal with all aspects of the registration process for the application and to bind the applicant accordingly; • take full responsibility for the accuracy and completeness of the material that I have provided as part of this application; • understand that is an offence to provide false or misleading information in this application; • understand that by submitting this application that I make this declaration.  By ticking the checkbox below you confirm this declaration:
< <pevious page<="" th=""><th>Save and Exit &gt;&gt; Submit Application &gt;&gt;</th></pevious>	Save and Exit >> Submit Application >>
An Initiative of UN Environment	Privacy Copyright Site Map



### 3.2.5. Bulk upload page (New product registration for multiple products)

The bulk upload page allows users to report multiple products in one step, without entering them one by one through the data entry forms.

The bulk registration is performed by uploading a zip file, which includes:

- A spreadsheet file with predefined column titles corresponding to the fields filled out by the end user through the various data entry forms.
- A single folder with binary files (e.g. pdf, jpg, png, etc...) for all the attachments associated with the products being registered. Those filenames are referenced in the excel file, to be able to associate a specific product with its corresponding associated files (e.g. test reports, letter of authority, etc...)

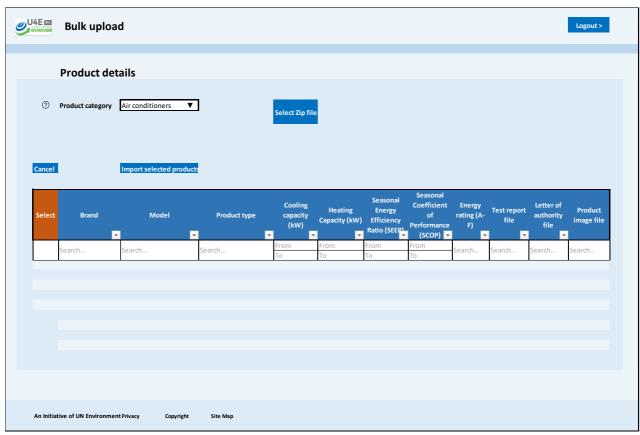
Once the zip file is uploaded, the application will perform a routine to import all records and will perform data validation (with the same validation conditions as it would be done for products registered individually through the data input forms). Following the data validation, the application will display a summary, listing all products imported, with their corresponding data fields and associated attached files. All errors from the validation process will be shown in the corresponding data field, highlighted so the user can quickly identify them. An additional message will be shown on screen indicating the number of validation errors encountered in the process and for which product codes.

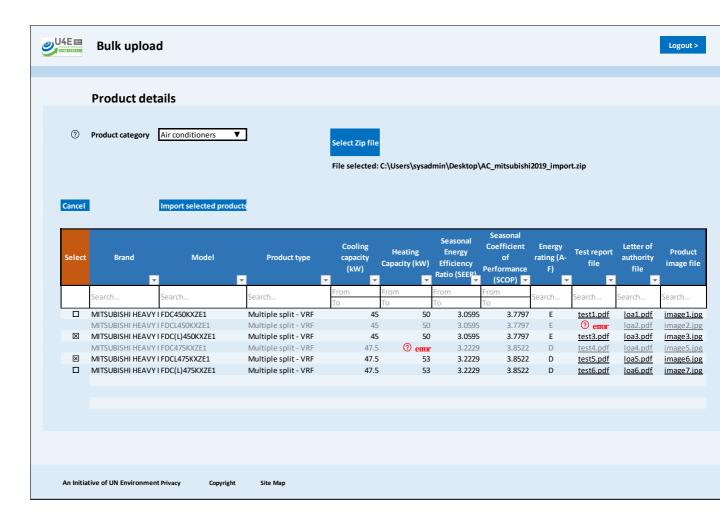
The user will then be able to select which records they wish to actually import and either continue with the import process or cancel it. Only records without errors are allowed to be imported, If the user chooses to import the selected records, those entries are created in the database and the application continues in the "Declaration and fees" page for the user to pay the corresponding fee and finalize the registration process.

To facilitate the data entry to users, templates and sample zip files are provided to the end user for download in the "bulk upload" page. The templates are tightly controlled, with predefined column titles and a limited number of unlocked cells, allowing simple data entry into the corresponding column. The software development team will develop the spreadsheet templates in close collaboration with UN Environment.

It is expected that the bulk upload feature will only be used in the first stages of product registration in a country. The availability of this feature for product manufacturers and importers will be defined by the program manager, through a "disable switch".

A conceptual graphical representation of the bulk upload page in its initial stage and following the selection of zip file is indicated in the graphs below.





### 3.2.6. Copy records page

This page enables a manufacturer/importer to generate a new product registration entry using data stored from other products registered in their account (either in pending or approved status). The main purpose of this functionality is to enable the registration of products in various jurisdictions or products with similar characteristics under the same legislation. This low-complexity solution is developed as a potentially complementary feature of the bulk upload system, which may not be suited to the specifics of that country legislation.

This function allows the selection of multiple products, and generates a new product entry (in draft status) for each of the products selected, appending the text string "copy\_of\_" to the product model name, and "generated as copy of product xxxx" in the "comment" field.

### 3.2.7. Product re-registration page

The product re-registration page allows a manufacturer/importer to request the registration of an existing product into another legislation framework. The re-registration process is only intended for products that require the same data inputs as the original legislation framework, but have more stringent efficiency thresholds in the new legislation framework (see performance claims form, section 3.2.4.5)

The basic layout of the product re-registration page is similar to the product list page, however, it includes a column with checkboxes.

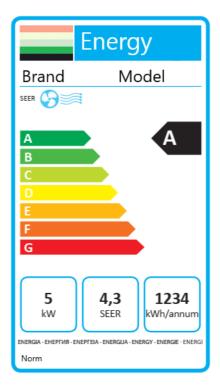
The user selects the products that they wish to re-register and continues with the process. The application then displays the list of selected products, together with a list of the available legislation frameworks for each particular product category. Once the user selects the re-registration framework and continues with the process, the declaration and fees page will be displayed, for the user to continue the process.

If the product meets the requirements of the new legislation framework, a new entry will be created with the same user entry figures, updated calculated values, (except the registration expiry date, which will be kept the same as that of the original product), and a "Registration status flag" set as "Approved".

The "old" product registration entry, and its associated calculated values will be kept, but the "Registration status flag" will be set to "Superseded".

#### 3.2.8. Label & certificate download page

The system shall be able to generate an energy label (.pdf format) for the manufacturer/importer to print and include in their products. This feature will only be available for products with an approved registration status. The information for the label will be obtained from the product information file, without any additional input from the user. A sample label is indicated in the graph below. Detailed graphic design characteristics will be given in the development phase.



In addition to the label generation document, the system will also be able to generate a certificate of approval document (.pdf format) for products approved in the system. The certificate will be generated on-demand from the manufacturer/importer users for specific products. A template of the certificate format to be used will be provided during the development phase of the project.

#### 3.2.9. About page

The about page contains a general description of the application and a list of all documents available for download. The list of documents will include the upload date, a brief description and a link for upload. This information is entered by the program manager through the documentation upload page in their user interface.

The specific text in the About page will be provided during the software development phase.

### 3.3. Program manager user interface

The program manager user interface is designed for government officials to manage the registration of applicants and products, obtain statistical information, log enforcement actions and provide information in the platform to the applicants. It is divided into several administration pages.

#### 3.3.1. User management page

The user management page allows the user to access their account data, reset password, and modify their user profile except "Company name" and "Contact email".

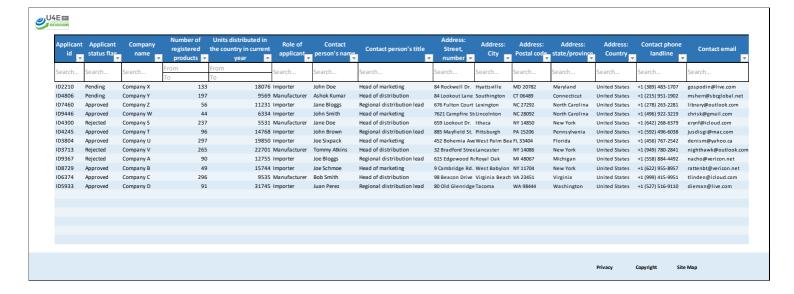
The user management page will also display the email addresses of users with access rights to the same account data.

#### 3.3.2. Applicants page (administrator area)

The applicants page consists of a list of current applicants registered in the system and their status. Once a manufacturer/importer completes the sign-up process, its status is flagged as "pending". The applicant sign-up and approval process is indicated in the process diagram in section 3.2.1.

The program manager shall be able to select one of the applicants from the list, which will bring up the same data entry forms filled by the applicant (with read-only access to the data). The program manager will review the information submitted and either accept or reject the application.

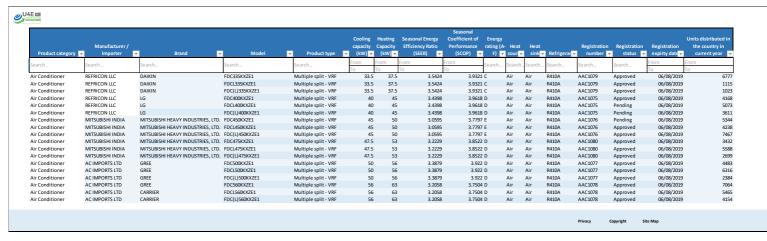
If the application is accepted, the applicant may log into the platform and start submitting products for registration. If the application is rejected, the applicant will only be able to access the sign-up forms to edit the data entered and re-send the application.



#### 3.3.3. Product list page

This product list page is similar to the manufacturer/importer product list page, however, the program manager has read access to all products in the system, including the name of the applicants associated with them.

The system shall also allow users to download in tabular form product data available under their access rights. The downloadable data points for the program manager are indicated in section 4.



Clicking an individual line, brings up the data entry forms for that particular product and its recorded data. The main difference with the manufacturer/importer data entry forms, is that the program manager can enter all the Monitoring, Verification and Enforcement actions of the MVE form for a certain product.

Additionally, as in the case of the general public user interface, each product will have its individual access page, so that this page may be coded into a QR code for access through a QR reader. The purpose is to facilitate the entry of MVE actions for products that have the corresponding QR code in the packaging.

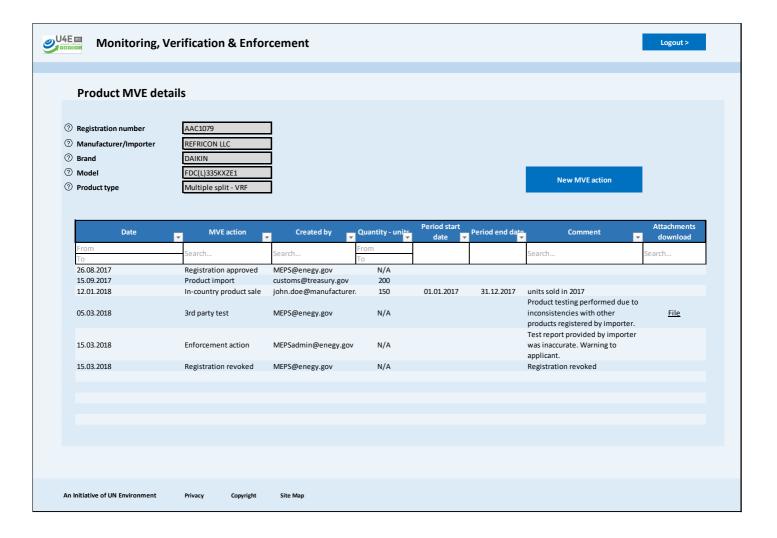
#### The MVE actions include:

- Offline fee payment for product registration.
- Product registration approval
- In-country product sale
- Product import
- Product export
- 3<sup>rd</sup> party test
- Enforcement actions
- Product registration revoked

To enter an MVE action, the program manager performs the following steps:

- Selection of the type of MVE action
- Entry of the number of products imported/exported/sold (mandatory only for the "in-country product sales", "product import" and "product export" actions)
- Entry of Start period and end period dates, only available for "in-country product sales" MVE action)
- Input comments
- Upload attachment (mandatory only for the "3rd party test" action)

The application automatically records the creation date and user that entered the MVE action. Submitted MVE actions are not editable by the user. The purpose is to serve as audit trail of MVE action taken on certain products.



If the user enters an "Offline fee payment for product registration" MVE action, the application automatically sets the "Registration fee paid offline" flag to "Yes". Further, the application only allows the creation of a "Product registration approval" MVE action if either the "registration fee paid online" or "registration fee paid online" flags are set to "Yes".

#### 3.3.4. Documentation upload page (administrator area)

The product documentation upload page allows the program manager to upload documents and make them available for the manufacturers/importers.

This page allows the user to enter a description of the document for display in the "About" page of the Manufacturer/Importer user interface.

#### 3.3.5. Accounts management page (administrator area)

The accounts management page allows the program manager to:

- Create additional "program management" accounts, in order to share the workload with other government officials.
- Create "customs" accounts.
- Revoke access rights to specific manufacturer/importer accounts
- Send invitation emails for new users to specific manufacturer/importer accounts (similarly to the invitation feature of the manufacturer/importer). In this case, the application will also send an additional email to the original user informing them of the invitation, and another one if this is successful.

#### 3.3.6. Dashboard page

A dedicated dashboard page will display the following information:

- Pending actions: user account application approval (application status flag "pending"), product registration approval (registration status flag "pending"), offline product payment confirmation (if a "Registration fee paid offline user confirmation" flag is set to "yes", and its corresponding "registration fee paid offline" is set to "no")
- Latest product activity: list of latest approved products, list of latest enforcement actions, overall product registration numbers per category, overall product registration numbers per registered account, etc.
- Latest user activity: logins, logouts, invitations to other users, request of new accounts, approval of new accounts, removal of accounts, user information changes

The information in the various lists will be downloadable by the user.

#### 3.3.7. Contextual help page (administrator area)

This page allows configuring the contextual help text that is displayed in each field of the manufacturer/importer user interface. This feature is available for all languages in which the application is configures.

This page lists all the fields from the various forms available in the Manufacturers/Importers user interface. Next to each field a text box is included, where the user may enter the text to be displayed as contextual help when the manufacturer/importer selects the "?" icon next to each field.

#### 3.3.8. Variables configuration page (administrator area)

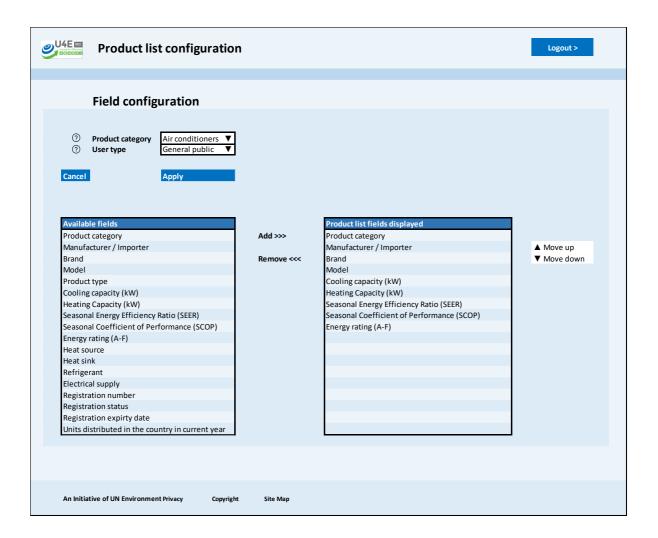
This page allows the program managers to configure variables and lists that are used throughout the application.

These include:

- Refrigerant gases (most common and standard) see section 7
- Blowing agents (most common and standard) see section 7
- Currency abbreviation (to be used in energy prices and total annual energy cost)
- Electricity price (in Currency/kWh)

#### 3.3.9. Product list configuration page (administrator area)

This page allows configuring the fields displayed in the product list page of manufacturers/importers and the general public for each of the product types existing in the system. It will use a similar layout to a ribbon configuration page in the Microsoft Office applications.



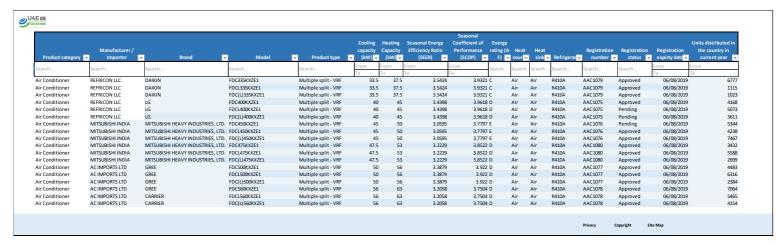
#### 3.4. Customs user interface

The "Customs" user interface is designed to allow customs officers to easily review the registration status of products being imported in the country and to enter quantities of products being imported.

This user interface is a scaled-down version of the Program manager user interface, containing only the product list page (and the associated "Product MVE details" form). The only action available for the customs officers in the product list page is "product import"

#### 3.4.1. Product list page

This product list page is similar to the manufacturer/importer product list page, however, the customs officer has read access to all registered products, including the name of the applicants associated with them.

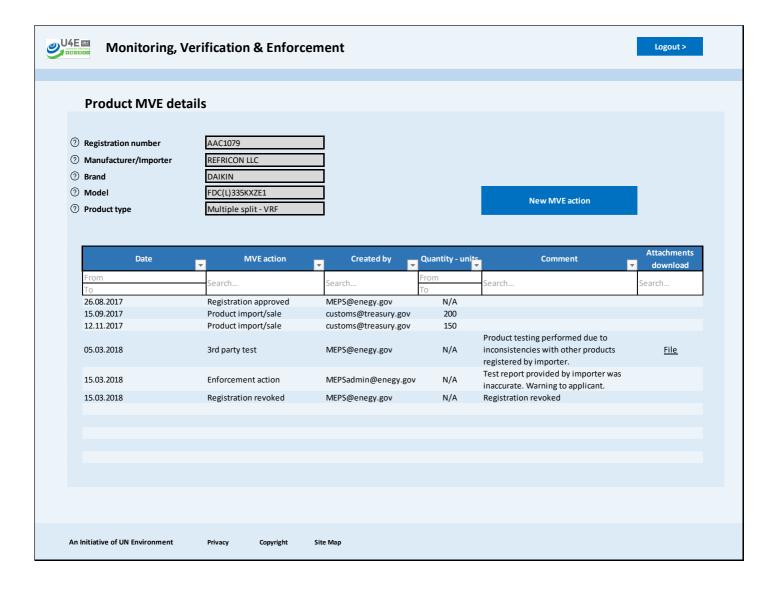


Clicking an individual line, brings up the data entry forms for that particular product and its recorded data. The customs officer also has access to the MVE form where all the Monitoring, Verification and Enforcement actions on a product are recorded.

The customs account has read access to all the MVE actions recorded, however, it can only enter a "Product import" and "Product export" MVE action. Similarly to the program manager case, to enter an MVE action, the customs officer performs the following steps:

- Selection of the type of MVE action (only "Product import")
- Entry of the number of products imported/exported (mandatory)
- Input comments
- Upload attachment

The application automatically records the creation date and user that entered the MVE action. Submitted MVE actions are not editable by the user. The purpose is to serve as audit trail of MVE action taken on certain products.



### 4. Data points

Data entries in the database must be performed with at least the data fields indicated in the table below.

Intermediate results variables, database entry identifiers, timestamps, or users creating/modifying/approving entries are not explicitly mentioned in this table, but should be nevertheless recorded.

The programming team should note that this list, although as accurate as possible at this moment, is not comprehensive and may require additional fields for the development of the application. It is also foreseen that once new product categories (e.g. washing machines, lighting, etc.) are added to the tool, additional data points will be required, therefore, tables and dependencies shall be programmed with this planned evolution.

Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	Download by manufact urer/imp orter user	Download by program manager user	Comment
Country	predefined list	user entry	Initialization form	N/A	YES	NO		YES	YES	
Product category	predefined list	user entry	Initialization form	N/A	YES	NO		YES	YES	
Legislation framework	predefined list	user entry	Initialization form	N/A	YES	NO		YES	YES	
Legislation entry into force date	date	predefined	N/A	N/A	N/A	N/A		YES	YES	
Legislation expiry date	date	predefined	N/A	N/A	N/A	N/A		YES	YES	
Applicant id	integer	system assigned	N/A	N/A	N/A	N/A		YES	YES	
Applicant status flag	predefined list	calculated	N/A	N/A	N/A	N/A		NO	YES	
Company name	free text	user entry	Applicant details	N/A	YES	NO		NO	YES	Applicant details shall be filled out during applicant registration process
Role of applicant	predefined list	user entry	Applicant details	N/A	YES	NO		NO	YES	
Contact person's name	free text	user entry	Applicant details	N/A	YES	NO		NO	YES	
Contact person's title	free text	user entry	Applicant details	N/A	NO	NO		NO	YES	
Address: Street, number	free text	user entry	Applicant details	N/A	YES	NO		NO	YES	
Address: City	free text	user entry	Applicant details	N/A	YES	YES	The city, postal code and	NO	YES	

Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	by manufact urer/imp orter user	Download by program manager user	Comment
							country must return a valid address in "mapquest.com " - API available			
Address: Postal code	free text	user entry	Applicant details	N/A	YES	YES		NO	YES	
Address: state/province	free text	user entry	Applicant details	N/A	NO	NO		NO	YES	
Address: Country	predefined list	user entry	Applicant details	N/A	YES	YES		NO	YES	
Contact phone landline	free text	user entry	Applicant details	N/A	YES	NO		NO	YES	
Contact phone mobile	free text	user entry	Applicant details	N/A	NO	NO		NO	YES	
Contact fax	free text	user entry	Applicant details	N/A	NO	NO		NO	YES	
Contact email	free text	user entry	Applicant details	N/A	YES	YES	valid domain	NO	YES	
Certificate of incorporation	binary file	user entry	Applicant details (registration only)	N/A	YES	NO		NO	YES	
Tax clearance certificate	binary file	user entry	Applicant details (registration only)	N/A	YES	NO		NO	YES	
Registration id	integer	system assigned	N/A	All	N/A	N/A		YES	YES	
Registration date	date	system assigned	N/A	All	N/A	N/A		YES	YES	
Registration expiry date	date	system assigned	N/A	All	N/A	N/A		YES	YES	
Registration Status flag	predefined list	calculated	N/A	All	N/A	N/A		YES	YES	
Country of application	predefined list	user entry	Product details - General	All	YES	NO		YES	YES	
Performance standard (AC)	predefined list	calculated	Product details - General	All	YES	NO		YES	YES	
Brand name	list, updateable by end-user	user entry	Product details - General	All	YES	NO		YES	YES	
Model number	free text	user entry	Product details - General	All	YES	NO		YES	YES	

Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	Download by manufact urer/imp orter user	Download by program manager user	Comment
Global Trade Item Number (GTIN)	integer	user entry	Product details - General	All	NO	YES	TBD	YES	YES	
Manufacturer's name	list, updateable by end-user	user entry	Product details - General	All	YES	NO	100	YES	YES	
Country of manufacture	predefined list	user entry	Product details - General	All	YES	NO		YES	YES	
Date of product availabillity	date	user entry	Product details - General	All	YES	NO		YES	YES	
Website with product information	free text	user entry	Product details - General	All	NO	YES	website must not return an error page	YES	YES	optional
QR code	free-text (hyperlink)	calculated	Product details - General	All	N/A	N/A	arran paga	YES	YES	calculated from PRS unique product data page
HS code	predefined list	calculated	Product details - General	All	N/A	N/A		YES	YES	
Climate group	predefined list	calculated	Prod. details - Appl. Specific, U4E model regulation	AC specific	YES	NO		YES	YES	
Operating modes	predefined list	calculated	Prod. details - Appl. Specific, U4E model regulation	AC specific	YES	NO		YES	YES	
Type of unit	predefined list	user entry	Prod. details - Appl. Specific, U4E model regulation	AC specific	YES	NO		YES	YES	
Heat source medium	predefined list	user entry	Prod. details - Appl. Specific, U4E model regulation	AC specific	YES	NO		YES	YES	
Heat sink medium	predefined list	user entry	Prod. details - Appl. Specific, U4E model regulation	AC specific	YES	NO		YES	YES	
Variable output capacity (inverter			Prod. details - Appl. Specific, U4E model							
driven)?	Yes/No	user entry	regulation	AC specific	YES	NO		YES	YES	

Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	Download by manufact urer/imp orter user	Download by program manager user	Comment
Cooling mode -			Prod. details - Appl.							
rated power	floating point		Specific, U4E model							
(electrical)	number	user entry	regulation	AC specific	YES	NO		YES	YES	
							within country's			
Cooling mode -	cı		Prod. details - Appl.				applicable MEPS			
rated output	floating point		Specific, U4E model	AC an acific	VEC	VEC	legislation	VEC	VEC	
capacity (cooling)	number	user entry	regulation Prod. details - Appl.	AC specific	YES	YES	(update)	YES	YES	
Cooling mode -	floating point		Specific, U4E model				between 1.5			
rated EER	number	user entry	regulation	AC specific	YES	YES	and 7	YES	YES	
Heating mode -	namber	aser entry	Prod. details - Appl.	rie speeme	123	123	ana /	123	123	
rated power	floating point		Specific, U4E model							
(electrical)	number	user entry	regulation	AC specific	YES	NO		YES	YES	
Heating mode - rated output	floating point	usor ontru	Prod. details - Appl. Specific, U4E model regulation	AC specific	YES	YES	within country's applicable MEPS legislation	YES	YES	
capacity (heating)	number	user entry	Prod. details - Appl.	AC specific	YES	YES	(update)	YES	TES	
Heating mode -	floating point		Specific, U4E model				between 2.5			
rated COP	number	user entry	regulation	AC specific	YES	YES	and 8	YES	YES	
Refrigerant type	predefined list	user entry	Prod. details - Appl. Specific, U4E model regulation	AC specific	YES	NO		YES	YES	
Refrigerant charge	floating point number	user entry	Prod. details - Appl. Specific, U4E model regulation	AC specific	YES	YES	between 0.5 and 15 (update with legislation)	YES	YES	
Is refrigerant pre-			Prod. details - Appl.							
charged in			Specific, U4E model							
appliance?	Yes/No	user entry	regulation	AC specific	YES	NO		YES	YES	
			Prod. details - Appl. Specific, U4E model							
Refrigerant GWP	integer	calculated	regulation	AC specific	N/A	N/A		YES	YES	
			Prod. details - Appl. Specific, U4E model							
Refrigerant ODP	integer	calculated	regulation	AC specific	N/A	N/A		YES	YES	

Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	Download by manufact urer/imp orter user	Download by program manager user	Comment
Took worklood			Test details - U4E	A.Cifi-	VEC	NO			VEC	
Test method	predefined list	user entry	model reg	AC specific	YES	NO		YES	YES	
Test laboratory	list, updateable by end-user	user entry	Test details - U4E model reg	AC specific	YES	NO		YES	YES	
Test laboratory accreditation	predefined list	user entry	Test details - U4E model reg	AC specific	YES	NO		YES	YES	
Test report number	free text	user entry	Test details - U4E model reg	AC specific	YES	NO		YES	YES	
Test report date	date	user entry	Test details - U4E model reg	AC specific	YES	NO		YES	YES	
Test report date  Test report - serial  number of test  units	free text	user entry	Test details - U4E model reg	AC specific	YES	NO		YES	YES	
Tested input power - cooling mode (standard temperature as per ISO 16358-1)	floating point number	user entry	Test details - U4E model reg	AC specific	YES	YES	±20% of rated rated output capacity (cooling)	YES	YES	
Tested capacity - cooling mode (standard temperature as per ISO 16358-1)	floating point number	user entry	Test details - U4E model reg	AC specific	YES	YES	±20% of rated input power (cooling)	YES	YES	
Tested EER (standard temperature)	floating point number	calculated	Test details - U4E model reg	AC specific	N/A	N/A		YES	YES	
Cooling seasonal energy consumption	floating point number	user entry	Test details - U4E model reg	AC specific	YES	YES	TBD	YES	YES	
Cooling seasonal total load	floating point number	user entry	Test details - U4E model reg	AC specific	YES	YES	TBD	YES	YES	
Tested input power	floating point		Test details - U4E				±20% of rated input power			
- heating mode	number	user entry	model reg	AC specific	YES	YES	(heating)	YES	YES	

Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	Download by manufact urer/imp orter user	Download by program manager user	Comment
							±20% of rated			
							rated output			
Tested capacity -	floating point		Test details - U4E				capacity			
heating mode	number	user entry	model reg	AC specific	YES	YES	(heating)	YES	YES	
	floating point		Test details - U4E							
Tested COP	number	calculated	model reg	AC specific	N/A	N/A		YES	YES	
Extremet T (-15C)										
Tested capacity-	floating point		Test details - U4E							
heating mode	number	user entry	model reg	AC specific	YES	NO		YES	YES	
Extremet T (-15C)										
Tested input power	floating point		Test details - U4E							
- heating mode	number	user entry	model reg	AC specific	YES	NO		YES	YES	
Extreme T Tested	floating point		Test details - U4E							
СОР	number	calculated	model reg	AC specific	N/A	N/A		YES	YES	
Heating seasonal										
energy	floating point		Test details - U4E							
consumption	number	user entry	model reg	AC specific	YES	YES	TBD	YES	YES	
Heating seasonal	floating point		Test details - U4E							
total load	number	user entry	model reg	AC specific	YES	YES	TBD	YES	YES	
Annual										
performance factor	floating point		Test details - U4E							
heating	number	user entry	model reg	AC specific	N/A	N/A		YES	YES	
Claimed annual										
performance factor										
cooling (Seasonal										
Energy Efficiency	floating point									
Ratio - SEER)	number	calculated	Performance claims	AC specific	YES	YES	TBD	YES	YES	
Minimum	(I									
permitted annual	floating point				21/2	21/2		VEC	VEC	
performance factor	number	calculated	Performance claims	AC specific	N/A	N/A		YES	YES	
Does this product										
meet the min.										
energy										
performance	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \				21/2	21/2		VEC	VEC	
requirements?	Yes/No	calculated	Performance claims	AC specific	N/A	N/A		YES	YES	

Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	Download by manufact urer/imp orter user	Download by program manager user	Comment
Energy Efficiency			Danifarra a sa alaira	A.Cifi-	N1 / A	N1 / A		VEC	VEC	
Rating (label)  Does the product meet the refrigerant	predefined list	calculated	Performance claims	AC specific	N/A	N/A		YES	YES	
requirements?	Yes/No	calculated	Performance claims	AC specific	N/A	N/A		YES	YES	
Test report file	file	user entry	File uploads	All	YES	YES	valid file format	NO	YES	
Letter of authority	file	user entry	File uploads	All	YES	YES	valid file format	NO	YES	
Product image	image	user entry	File uploads	All	YES	YES	valid file format	NO	YES	
Reference number	free text	user entry	Fee processing	All	NO	NO		YES	YES	
Declaration confirmation	Yes/No	user entry	Fee processing	All	YES	N/A		YES	YES	
Registration fee paid online	Yes/No	system assigned	Fee processing	All	N/A	N/A		NO	YES	
Registration fee paid offline	Yes/No	user entry (Level 5 only)	Fee processing	All	YES	NO		NO	YES	
Registration fee paid offline user confirmation	Yes/No	user entry	Fee processing	All	YES	NO		NO	YES	
Registration fee paid	Yes/No	calculated	Fee processing	All	N/A	N/A		YES	YES	
Performance Standard (refrigerator)	predefined list	calculated	Prod. details - Appl. Specific, U4E model regulation	Refrigerato r specific	N/A	N/A		YES	YES	
Type of refrigerating appliance	predefined list	user entry	Prod. details - Appl. Specific, U4E model regulation	Refrigerato r specific	YES	NO		YES	YES	
Has the appliance automatic de-frost			Prod. details - Appl. Specific, U4E model	Refrigerato						
capability?	Yes / No	user entry	regulation Prod. details - Appl.	r specific	YES	NO		YES	YES	
Number of compartments	integer	user entry	Specific, U4E model regulation	Refrigerato r specific	YES	YES	between 1 and 4 (TBD)	YES	YES	

Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	Download by manufact urer/imp orter user	Download by program manager user	Comment
			Prod. details - Appl.							
Compartment			Specific, U4E model	Refrigerato						
details - type	predefined list	user entry	regulation	r specific	YES	NO		YES	YES	for each compartment
Compartment										
details - design			Prod. details - Appl.							
operating			Specific, U4E model	Refrigerato						
temperature	integer	user entry	regulation	r specific	YES	YES	TBD	YES	YES	for each compartment
Compartment			Prod. details - Appl.							
details - Net	floating point		Specific, U4E model	Refrigerato						
storage volume	number	user entry	regulation	r specific	YES	YES	TBD	YES	YES	for each compartment
			Prod. details - Appl.							
Total fresh food	floating point		Specific, U4E model	Refrigerato						
volume	number	calculated	regulation	r specific	N/A	N/A		YES	YES	
			Prod. details - Appl.							
Total frozen food	floating point		Specific, U4E model	Refrigerato						
volume	number	calculated	regulation	r specific	N/A	N/A		YES	YES	
			Prod. details - Appl.							
Automatic			Specific, U4E model	Refrigerato						
icemaker?	Yes / No	user entry	regulation	r specific	YES	NO		YES	YES	
			Prod. details - Appl.							
			Specific, U4E model	Refrigerato						
Refrigerant type	predefined list	user entry	regulation	r specific	YES	NO		YES	YES	
			Prod. details - Appl.							
	floating point		Specific, U4E model	Refrigerato						
Refrigerant charge	number	user entry	regulation	r specific	YES	YES	TBD	YES	YES	
			Prod. details - Appl.							
			Specific, U4E model	Refrigerato						
Blowing agent type	predefined list	user entry	regulation	r specific	YES	NO		YES	YES	
			Prod. details - Appl.							
			Specific, U4E model	Refrigerato						
Refrigerant GWP	integer	calculated	regulation	r specific	N/A	N/A		YES	YES	
			Prod. details - Appl.							
			Specific, U4E model	Refrigerato						
Refrigerant ODP	integer	calculated	regulation	r specific	N/A	N/A		YES	YES	

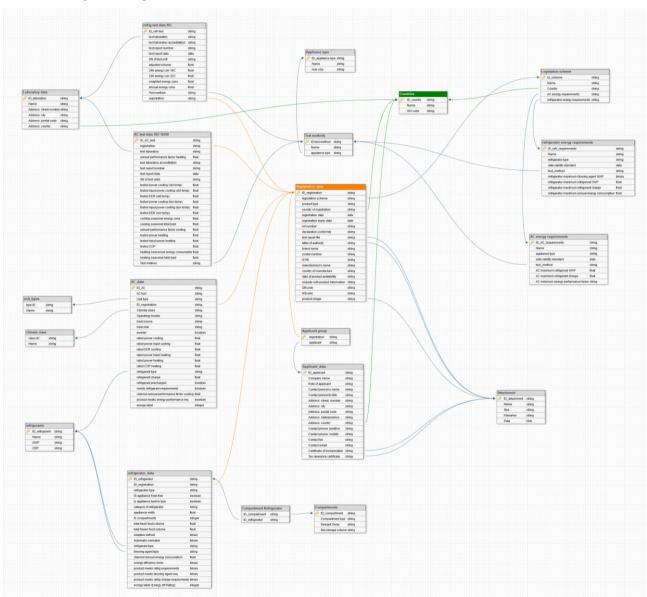
Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	Download by manufact urer/imp orter user	Download by program manager user	Comment
Blowing Agent			Prod. details - Appl. Specific, U4E model	Refrigerato				V50	V-5	
GWP	integer	calculated	regulation	r specific	N/A	N/A		YES	YES	
Blowing Agent ODP	integer	calculated	Prod. details - Appl. Specific, U4E model regulation	Refrigerato r specific	N/A	N/A		YES	YES	
Does the roduct meet the refrigerant requirements?	Yes/No	calculated	Prod. details - Appl. Specific, U4E model regulation	Refrigerato r specific	N/A	N/A		YES	YES	
Does the roduct meet the blowing agent requirements?	Yes/No	calculated	Prod. details - Appl. Specific, U4E model regulation	Refrigerato r specific	N/A	N/A		YES	YES	
Does the roduct meet the refrigerant charge limit requirements?	Yes/No	calculated	Prod. details - Appl. Specific, U4E model regulation	Refrigerato r specific	N/A	N/A		YES	YES	
Test method	predefined list	user entry	Test details - U4E model reg	Refrigerato r specific	YES	NO		YES	YES	
Test laboratory	list, updateable by end-user	user entry	Test details - U4E model reg	Refrigerato r specific	YES	NO		YES	YES	
Test laboratory accreditation	predefined list	user entry	Test details - U4E model reg	Refrigerato r specific	YES	NO		YES	YES	
Test report number	free text	user entry	Test details - U4E model reg	Refrigerato r specific	YES	NO		YES	YES	
Test report date	date	user entry	Test details - U4E model reg	Refrigerato r specific	YES	NO		YES	YES	
Test report - serial number of test units	free text	user entry	Test details - U4E model reg	Refrigerato r specific	YES	NO		YES	YES	
Adjusted volume	floating point number	calculated	Test details - U4E model reg	Refrigerato r specific	N/A	N/A		YES	YES	
24h energy consumption 16C	floating point number	user entry	Test details - U4E model reg	Refrigerato r specific	YES	YES	TBD	YES	YES	

Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	Download by manufact urer/imp orter user	Download by program manager user	Comment
24h energy	floating point		Test details - U4E	Refrigerato	\/F6	\ <u></u>		\/=C	\/F6	
consumption 32C	number	user entry	model reg	r specific	YES	YES	TBD	YES	YES	
Weighted energy consumption	floating point number	calculated	Test details - U4E model reg	Refrigerato r specific	N/A	N/A		YES	YES	
Annual energy consumption - calculated	floating point number	calculated	Test details - U4E model reg	Refrigerato r specific	N/A	N/A		YES	YES	
Claimed annual energy consumption	floating point number	user entry	Performance claims	Refrigerato r specific	YES	YES		YES	YES	
Does this product comply with storage temperature requirements within the standard?	Yes/No	calculated	Performance claims	Refrigerato r specific	NO	NO		YES	YES	
Maximum permitted annual energy consumption	floating point	calculated	Performance claims	Refrigerato r specific	N/A	N/A		YES	YES	
Does this product meet the min. energy performance requirements?	Yes/No	calculated	Performance claims	Refrigerato r specific	N/A	N/A		YES	YES	
Energy Efficiency Index	floating point number	calculated	Performance claims	Refrigerato r specific	N/A	N/A		YES	YES	
Energy Efficiency Rating (label)	predefined list	calculated	Performance claims	Refrigerato r specific	N/A	N/A		YES	YES	
MVE action	predefined list	user entry (Level 4&5 only)	MVE data	All	YES	NO		NO	YES	
MVE action quantity	integer	user entry (Level 4&5 only)	MVE data	All	CONDI TIONAL	NO		NO	YES	

Data point name	Туре	calculated / user entry / system assigned	Input form (entered or displayed)	Product category	Entry req.	Valid. Req.	validation rules	Download by manufact urer/imp orter user	Download by program manager user	Comment
		user entry								
MVE comment	free text	(Level 4&5 only)	MVE data	All	NO	NO		NO	YES	
		user entry			CONDI					
MVE attachment	file	(Level 4&5 only)	MVE data	All	TIONAL	NO		NO	YES	
Currency		user entry								
abbreviation	free text	(Level 5 only)	Administration	N/A	N/A	N/A		NO	NO	
	floating point	user entry								
Energy price	number	(Level 5 only)	Administration	N/A	N/A	N/A		NO	NO	

# 5. Database schema

Available as high-res image.



## 6. User levels

A total of five user levels have been defined. These generally correspond to the various user interfaces indicated in the previous sections, except in the case of Manufacturer/Importer, which has two different

	Level 5	Level 4	Level 3	Level 2	Level 1
Functionality	Program manager	Customs officials	Manufacturer / Importer (Read/Write access)	Manufacturer / Importer (Read-only access)	General Public
Platform access through login	Yes	Yes	Yes	Yes	No
Data access rights	All users	All users	Only account	Only account	Only account
Own account self-management	Yes	Yes	Yes	Yes	Yes
Primary database access	Yes	Yes	Yes	Yes	No
Secondary database access (mirror of primary with scaled- down data fields)	No	No	No	No	Yes
Invite users to own account	No	No	Yes	No	No
Invite users to other Level 3 accounts	Yes	No	No	No	No
Create Level 5 accounts	Yes	No	No	No	No
Create Level 4 accounts	Yes	No	No	No	No
Level 2&3 accounts approval & removal	Yes	No	No	No	No
Bulk product upload	No	No	Yes	No	No
Download own data in spreadsheet format	Yes (see data points flag)	No	Yes (see data points flag)	Yes (see data points flag)	No
Submission of products to registry (create new, edit applications in process)	No	No	Yes	No	No
Electricity tariff edit	Yes	No	No	No	No
Bulk upload disable switch	Yes	No	No	No	No
Access MVE actions	Yes, all	Yes, only Product Import and Product export	Yes, only "In- country product sales"	No	No
Dashboard page (Level 5)	Yes	No	No	No	No
Upload files to "About" section	Yes	No	No	No	No
Access contextual help page	Yes	No	No	No	No

# 7. Tables

# 7.1. Multi-status flags

Applicant status flag	Registration status flag	Role of applicant
Draft		
(invitations		
only)	Draft	Marketing manager
		Product registration
Pending	Pending	manager
Approved	Approved	Compliance officer
Rejected	Rejected	Data entry assistant
	Expired	Other
	Revoked	

## 7.2. Codes, norms, legislations

Legislation	Perform	nance Standard	Test methods	
framework	AC Refrigerators		AC	Refrigerators
U4E model	U4E model regulation	U4E model regulation	ISO 16358-	IEC
regulations 2019	AC 2019	Refrigerators 2019	1: 2013	62552:2015

# 7.3. HS Codes according to Product Categories, sub-categories

Product category				
Fridges	<b>.</b>	Air conditioners		
Subcategory (tytpe of refrig appliance)	HS Code	Subcategory (combination of type of unit & operating mode)	HS Code	
Refrigerators	841821	Ductless split - air conditioner	841510	
Refrigerator- Freezers	TBD	Ductless split - reversible air conditioner (AC + heat pump)	841581	
Freezers	TBD	Self-contained - air conditioner	841510	
		Self-contained - reversible air conditioner (AC + heat pump)	TBD	
		Portable - air conditioner	TBD	
		Portable - reversible air conditioner (AC + heat pump)	841581	

# 7.4. AC tables

Operating modes (AC)	Heat source medium (AC)	Heat sink medium (AC)	Type of unit	Adjustable output capacity?	Energy Efficiency Rating (AC) U4E model regulation 2019
			Ductless (split	No, fixed	
Cooling only	Air	Air	unit)	capacity	High Efficiency
Heating &			Self-contained		
Cooling	Water	Water	(window-type)	Yes, two stage	Intermediate
				Yes, multi-	
			Portable	stage	Low Efficiency
				Yes, variable	
				(inverter)	

Climate Group (primary)	Climate sub-group (secondary)	Sub-group Description
1	0A	Extremely Hot- Humid
2	ОВ	Extremely Hot-Dry
1	1A	Very Hot-Humid
2	1B	Very Hot-Dry
1	2A	Hot-Humid
1	2B	Hot-Dry
1	3A	Warm-Humid
1	3B	Warm-Dry
1	3C	Warm-Marine
3	4A	Mixed-Humid
3	4B	Mixed-Dry
3	5A	Cool-Humid
3	5B	Cool-Dry
3	6A	Cold-Humid
3	6B	Cold-Dry
3	7	Very Cold
3	8	Subarctic/Arctic

# 7.5. Refrigerator tables

Type of refrigerator	Energy Efficiency Rating (refrigerator)	Compartment type (refrigerator)
Refrigerators	High Efficiency	Fresh food

Refrigerator-		
Freezers	Intermediate	Frozen food (one star)
Freezers	Low Efficiency	Frozen food (two star)
		Frozen food (three star)
		Frozen food (four star)

### 7.6. ODP & GWP of refrigerants and blowing agents

The lists of refrigerants and blowing agents are displayed in two sections, one of the most common refrigerants or blowing agents on top, followed by a more comprehensive list of refrigerants and blowing agents below.

The GWP values are temporary and have been obtained from the U4E Country Energy Assessments Methodology.

Refrigerants				Blowing A	Agents		
Most				Most			
common	Name	ODP	GWP	common	Name	ODP	GWP
Yes	R-134a	0	1300	Yes	HCFC-141b	0.11	725
Yes	R600A	0	3	Yes	HCFC-142b	0.065	2310
Yes	R22	0.055	1760	No	TBD	TBD	TBD
Yes	R290	0	3				
Yes	R407C	0	1774				
Yes	R410A	0	1924				
Yes	R32	0	677				
No	TBD	TBD	TBD				

### 7.7. MVE Actions

MVE action
Offline fee payment for product
registration.
Product registration approval
In-country product sales
Product import
Product export
3rd party test
Enforcement actions
Product registration revoked

# 7.8. Country weather groups

Country	Tool climate group	Tool climate sub- group
Afghanistan	2	4A
Albania	1	3A
Algeria	1	3A
Angola	1	1A
Antigua and Barbuda	1	0A
Argentina	1	3A
Armenia	3	4A
Azerbaijan	3	4B
Bahamas	1	1A
Bahrain	2	OB
Bangladesh	1	1A
Barbados	1	0A
Belarus	3	6A
Belize	1	0A
Benin	1	0A
Bhutan	1	3A
Bolivia	1	1A
Bosnia and Herzegovina	3	4A
Botswana	1	2B
Brazil	1	2A
Brunei Darussalam	1	0A
Burkina Faso	1	OB
Burundi	1	1A
Cambodia	1	0A
Cameroon	1	0A
Cape Verde	2	1B
Central African Republic	1	0A
Chad	2	OB
Chile	1	3C
China	1	2A
Colombia	1	3A
Comoros	1	0A
Costa Rica	1	2A
Côte d'Ivoire	1	1A

Cuba	1	1A
Democratic People's Republic of Korea	3	5A
Democratic Republic of the Congo	1	1A
Djibouti	2	ОВ
Dominica	1	0A
Dominican Republic	1	0A
Ecuador	1	3A
Egypt	1	2B
El Salvador	1	0A
Equatorial Guinea	1	0A
Eritrea	2	OB
Ethiopia	1	2A
Federated States of Micronesia	1	0A
Fiji	1	1A
Gabon	1	0A
Gambia	1	0A
Georgia	3	4A
Ghana	1	0A
Grenada	1	0A
Guatemala	1	2A
Guinea	1	0A
Guinea-Bissau	1	1A
Guyana	1	0A
Haiti	1	0A
Honduras	1	2A
India	1	0A
Indonesia	1	0A
Iran	1	3B
Iraq	2	1B
Israel	1	2A
Jamaica	1	0A
Jordan	1	3B
Kazakhstan	3	5A
Kenya	1	2A
Kiribati	1	0A
Kuwait	2	ОВ
Kyrgyz Republic	3	5A
Lao People's Democratic Republic	1	0A
Lebanon	1	2A
Lesotho	1	3B
Liberia	1	1A

Libya	1	2B
Macedonia, FYR	3	4A
Madagascar	1	3A
Malawi	1	3A
Malaysia	1	0A
Maldives	1	0A
Mali	1	0A
Marshall Islands	1	0A
Mauritania	1	ОВ
Mauritius	1	1A
Mexico	1	3A
Mongolia	3	8
Montenegro	3	3A
Morocco	1	3A
Mozambique	1	1A
Myanmar	1	0A
Namibia	1	2B
Nepal	1	2A
Nicaragua	1	0A
Niger	1	0A
Nigeria	1	0A
Oman	2	ОВ
Pakistan	2	1B
Panama	1	0A
Papua New Guinea	1	0A
Paraguay	1	2A
Peru	1	2B
Philippines	1	0A
Qatar	2	ОВ
Republic of Congo	1	1A
Republic of Moldova	3	5A
Russia	3	6A
Rwanda	1	2A
Saint Kitts and Nevis	1	0A
Saint Lucia	1	0A
Saint Vincent and the Grenadines	1	0A
Samoa	1	0A
Sao Tome and Principe	1	1A
Saudi Arabia	2	ОВ
Senegal	2	1B
Serbia	3	4A

Seychelles	1	0A
Sierra Leone	1	1A
Singapore	1	0A
Solomon Islands	1	0A
Somalia	1	0A
South Africa	1	3C
South Sudan	2	ОВ
Sri Lanka	1	0A
State of Palestine	1	3A
Sudan	1	0A
Suriname	1	0A
Swaziland	1	3A
Syrian Arab Republic	1	3B
Tajikistan	1	3A
Thailand	1	0A
Timor-Leste	1	0A
Togo	1	0A
Tonga	1	1A
Trinidad and Tobago	1	0A
Tunisia	1	2A
Turkey	3	4A
Turkmenistan	1	3B
Uganda	1	2A
Ukraine	3	5A
United Arab Emirates	2	ОВ
United Republic of Tanzania	1	1A
Uruguay	1	3A
Uzbekistan	3	4B
Vanuatu	1	1A
Venezuela	2	ОВ
Vietnam	1	0A
Yemen	1	3B
Zambia	1	3A
Zimbabwe	1	3A

## 7.9. Weather data

Temperature bins for calculating CSPF in Group 1 countries

Outdoor temperature	Reference	0A	1A	2A	3A	2B	3B	3C
°C	Bin hours	Bin hours						
21		5	33	49	32	30	34	34
22		23	86	92	62	64	60	60
23		76	167	128	83	102	84	73
24		205	250	161	99	138	98	75
25		383	327	191	103	169	108	74
26		537	360	210	101	201	109	60
27		646	388	219	93	216	109	50
28		671	395	212	85	221	105	41
29		630	371	188	79	217	97	32
30		596	332	149	72	203	88	27
31		501	285	118	63	200	75	18
32		361	227	86	52	191	61	12
33		206	153	58	41	180	50	6
34		86	90	37	29	147	36	3
35	ISO 16358-	32	55	22	18	113	27	2
36	1: 2013	11	35	13	11	80	16	1
37		3	22	8	7	53	10	0
38		1	16	4	4	34	6	0
39		0	12	3	2	21	3	0
40		0	10	1	1	13	1	0
41		0	7	1	1	8	1	0
42		0	5	1	0	4	0	0
43		0	3	0	0	3	0	0
44		0	1	0	0	1	0	0
45		0	0	0	0	0	0	0
46		0	0	0	0	0	0	0
47		0	0	0	0	0	0	0
48		0	0	0	0	0	0	0
49		0	0	0	0	0	0	0
50		0	0	0	0	0	0	0
Total	1817	4973	3630	1951	1038	2609	1178	568

Temperature bins for calculating CSPF in Group 2 countries

Outdoor temperature	Reference	OB	1B
°C	Bin hours	Bin hours	Bin hours
21		18	22
22		40	47
23		74	83
24		130	113
25		198	151
26		241	182
27		290	228
28		329	253
29		364	277
30		381	289
31		388	289
32		393	287
33		372	288
34		307	257
35	ISO 16358-1:	255	234
36	2013/Amd.1: 2019	213	189
37		185	164
38		155	134
39		131	116
40		106	97
41		88	78
42		71	59
43		55	40
44		41	22
45		27	11
46		19	4
47		11	1
48		6	0
49		3	0
50		1	0
Total	6492	4892	3915

Temperature bins for calculating CSPF in Group 3 countries

	Temperature bins for calculating CSPF in Group 3 countries								
Outdoor temperature	Reference	4A	5A	6A	4B	5B	6B	7	8
°C	Bin hours	Bin hours	Bin hours	Bin hours	Bin hours	Bin hours	Bin hours	Bin hours	Bin hours
24							40	4.4	10
21	16	20	20	13	22	13	18	14	10
22	31	39	39	22	45	26	36	25	19
23	44	55	55	28	67	38	52	35	25
24	54	70	67	30	85	49	65	41	30
25	61	82	76	30	100	57	75	43	29
26	65	90	80	29	109	64	82	43	30
27	66	95	79	26	115	69	79	41	28
28	64	97	78	22	115	72	71	36	24
29	59	93	70	17	117	73	60	28	21
30	55	91	62	12	115	74	50	23	17
31	48	83	49	8	111	72	35	18	13
32	42	74	38	5	104	70	25	13	9
33	35	60	28	3	92	67	15	9	8
34	26	42	19	1	72	58	7	6	5
35	19	28	12	1	56	49	4	3	3
36	13	15	6	1	40	39	2	1	2
37	8	8	3	0	26	28	1	0	1
38	5	4	2	0	15	19	0	0	0
39	2	2	1	0	7	11	0	0	0
40	1	1	0	0	3	6	0	0	0
41	0	0	0	0	2	3	0	0	0
42	0	0	0	0	0	1	0	0	0
43	0	0	0	0	0	0	0	0	0
44	0	0	0	0	0	0	0	0	0
45	0	0	0	0	0	0	0	0	0
46	0	0	0	0	0	0	0	0	0
47	0	0	0	0	0	0	0	0	0
48	0	0	0	0	0	0	0	0	0
49	0	0	0	0	0	0	0	0	0
50	0	0	0	0	0	0	0	0	0
Total	714	1049	784	248	1418	958	677	379	274

Temperature bins for calculating HSPF in Group 1 countries

Temperature bins	TOT Calculation	ig norr iii	Group 1 c	ountries	1		
Outdoor temperature	Reference	1A	2A	3A	2B	3B	3C
°C	Bin hours	Bin hours	Bin hours	Bin hours	Bin hours	Bin hours	Bin hours
-7		0	0	0	0	1	0
-6		0	0	1	0	2	0
-5		0	0	2	0	4	0
-4		0	0	4	0	7	0
-3		0	0	8	1	12	1
-2		0	1	13	1	19	3
-1		0	2	20	2	28	5
0		0	2	16	3	24	8
1		0	5	41	7	46	15
2		0	8	54	13	63	23
3		0	12	72	20	78	34
4	ISO 16358-	0	18	97	32	98	49
5	2: 2013	1	25	126	45	117	68
6		1	34	154	58	137	89
7		3	49	187	72	159	117
8		5	55	201	82	165	131
9		7	64	208	90	162	143
10		10	67	216	90	168	159
11		13	71	211	94	155	163
12		15	72	196	91	150	179
13		17	70	164	82	138	191
14		17	65	135	70	119	184
15		15	53	95	49	96	139
16		10	33	49	28	53	76
Total	2866	114	706	2270	930	2001	1777

Temperature bins for calculating HSPF in Group 2 countries

Temperature bins for calculating HSPF in Group 2 countries						
Outdoor temperature	Reference	OB	1B			
°C	Bin hours	Bin hours	Bin hours			
-7		0	0			
-6		0	0			
-5		0	0			
-4		0	0			
-3		0	0			
-2		0	1			
-1		0	1			
0		0	1			
1		0	3			
2		1	5			
3		2	9			
4	ISO 16358-2:	4	15			
5	2013	6	26			
6		8	36			
7		11	48			
8		15	58			
9		18	67			
10		22	68			
11		25	70			
12		27	68			
13		27	63			
14		25	51			
15		20	39			
16		12	20			
Total	2866	223	649			

Temperature bins for calculating HSPF in Group 3 countries

Temperature bii	ns for calculat	ing HSPF	in Group	3 countrie	es				
Outdoor temperature	Reference	4A	5A	6A	4B	5B	6B	7	8
°C	Bin hours	Bin hours							
-7	108	47	109	137	50	86	158	144	134
-6	119	62	127	158	60	102	158	148	142
-5	135	84	143	185	81	122	157	160	148
-4	150	112	169	200	102	139	166	163	150
-3	169	144	192	232	129	173	174	162	148
-2	191	176	225	265	160	204	172	168	160
-1	212	204	249	308	186	246	171	169	163
0	162	177	194	247	184	173	94	113	120
1	252	253	287	424	217	318	183	175	164
2	239	249	259	371	227	317	167	162	162
3	224	252	236	301	226	315	160	157	147
4	218	255	228	264	232	305	154	157	151
5	261	309	266	299	281	376	188	189	187
6	239	286	241	258	267	329	177	172	187
7	218	262	215	232	241	299	163	164	172
8	197	237	197	213	209	259	147	154	165
9	176	209	174	192	185	225	138	143	149
10	158	187	158	178	161	196	122	129	135
11	136	158	135	156	137	158	108	120	121
12	116	133	116	142	114	127	91	103	102
13	94	107	94	115	94	97	75	88	82
14	73	83	74	87	70	69	60	70	71
15	49	56	51	58	49	45	41	49	44
16	24	28	26	28	25	21	22	24	21
Total	3920	4070	4165	5050	3687	4701	3246	3283	3225